



SUNDARAM BNP PARIBAS
MUTUAL

Investment Manager: Sundaram BNP Paribas Asset Management Company Limited



Application Form & Key Information Memorandum



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Sundaram BNP Paribas Asset Management

Sundaram BNP Paribas Growth Fund	Diversified Large-Cap Fund Active stock calls and no deviant sector bets (An open-end equity scheme)
Sundaram BNP Paribas Select Focus	Concentrated Large-Cap Fund Aggressive strategy focussing on 2/3 themes owning up to 30 stocks (An open-end equity scheme)
Sundaram BNP Paribas India Leadership Fund	Diversified Multi-Cap Fund Active sector, stock & cash calls; portfolio of blue-chip names (An open-end equity scheme)
Sundaram BNP Paribas Select Mid Cap	Pure Mid Cap Fund Diversified portfolio to optimise returns & risk (An open-end equity scheme)
Sundaram BNP Paribas S.M.I.L.E Fund	Diversified Multi-Cap Fund Aggressive focus on mid- & small-cap stocks + cushion of up to 35% in large caps (An open-end equity scheme)
Sundaram BNP Paribas Equity Multiplier	Diversified Equity Fund Multi-cap portfolio (An open-end equity scheme)
Sundaram BNP Paribas Tax Saver	Diversified ELSS Fund Multi-cap portfolio with a distinct large-cap tilt (An open-end equity scheme)
Sundaram BNP Paribas Balanced Fund	Balanced Portfolio Large-cap focussed equity component (An open-end balanced scheme)
Select Thematic Funds	
Sundaram BNP Paribas CAPEX Opportunities Fund	Theme Infrastructure/capex spend in India (An open-end equity scheme)
Sundaram BNP Paribas Rural India Fund	Theme Rural prosperity (An open-end equity scheme)
Sundaram BNP Paribas Financial Services Opportunities	Theme Financial services (An open-end equity scheme)
Sundaram BNP Paribas Entertainment Opportunities	Theme Entertainment & media (An open-end equity scheme)
Sundaram BNP Paribas PSU Opportunities	Theme Growth as PSU, disinvestment & privatisation (An open-end equity scheme)
Income/Liquid Schemes	
Sundaram BNP Paribas Money Fund	Liquid Fund Optimal current yield + high degree of liquidity
Sundaram BNP Paribas Ultra Short-Term Fund	Income Fund Current yield + yield kicker from market-to-market component
Sundaram BNP Paribas Flexible Fund: Short-Term Plan	Income Fund Dynamic strategy in short-end of yield curve
Sundaram BNP Paribas Flexible Fund: Flexible Income Plan	Income Fund Dynamic go-anywhere portfolio across the yield curve
Sundaram BNP Paribas Gilt Fund	Gilt Fund Dynamic fund owning Government Securities
Sundaram BNP Paribas Bond Saver	Income Fund Strategy focused on medium-to-long term end of yield curve
Sundaram BNP Paribas Income Plus	Income Fund Strategy focused on medium-to-long term end of yield curve
Sundaram BNP Paribas Select Debt Fund: Short-Term Plan	Income Fund Yield kicker from market-to-market component & high investment grade paper
Sundaram BNP Paribas Monthly Income Plan	Income Fund Dynamic bond portfolio + dash of equity (Not an assured returns scheme)
Fund-of-Funds	
Sundaram BNP Paribas Global Advantage	Thematic Emerging Markets + Real Estate + Commodities (funds investing in stocks of real estate & commodity companies)

Track Record

Fund / Index	Launch period	One year	Three years	Five years	Since Launch
Sundaram BNP Paribas Growth Fund	Apr-97	89.6	10.5	20.3	20.9
<i>BSE 200</i>		92.9	12.2	20.4	14.9
Sundaram BNP Paribas Select Focus	Jul-02	75.2	13.5	24.8	31.9
<i>S&P CNX Nifty</i>		73.8	11.1	20.8	24.8
Sundaram BNP Paribas Select Mid Cap	Jul-02	139.5	15.9	28.8	40.3
<i>BSE Mid Cap</i>		130.2	8.1	17.2	28.9
Sundaram BNP Paribas India Leadership	Jul-04	88.6	11.3	22.4	26.0
<i>S&P CNX Nifty</i>		73.8	11.1	20.8	23.8
Sundaram BNP Paribas SMILE Fund	Feb-05	131.9	21.9	25.2	24.7
<i>CNX Mid Cap</i>		126.1	16.7	21.3	20.4
Sundaram BNP Paribas Equity Multiplier	Feb-07	84.1	—	—	9.5
<i>CNX Mid Cap</i>		126.1	—	—	14.6
Sundaram BNP Paribas Select Small Cap	Feb-07	148.4	—	—	6.1
<i>BSE Small Cap</i>		161.7	—	—	5.6
Sundaram BNP Paribas Balanced Fund	Jun-00	71.5	12.6	16.7	16.5
<i>CRISIL Balanced Fund Index</i>		47.3	9.8	15.4	—
Sundaram BNP Paribas Tax Saver	Nov-99	81.6	16.3	25.5	22.5
<i>BSE 200</i>		92.9	12.2	20.4	15.1
Sundaram BNP Paribas Capex Opportunities	Sep-05	126.8	16.4	—	22.4
<i>BSE Capital Goods</i>		117.8	15.8	—	26.2
<i>S&P CNX 500</i>		88.0	11.1	—	15.2
Sundaram BNP Paribas Rural India	May-06	93.8	8.0	—	7.9
<i>BSE 500</i>		96.4	11.8	—	9.1
<i>S&P CNX 500</i>		88.0	11.1	—	8.6
Sundaram BNP Paribas Energy Opportunities	Jan-08	80.8	—	—	-7.8
<i>BSE Oil & Gas</i>		44.0	—	—	-11.2
<i>S&P CNX 500</i>		88.0	—	—	-9.4
Sundaram BNP Paribas Financial Opportunities	Jun-08	116.7	—	—	31.8
<i>CNX Banks</i>		128.9	—	—	30.9
<i>S&P CNX 500</i>		88.0	—	—	11.0
Sundaram BNP Paribas Entertainment Opportunities	Jun-08	72.1	—	—	25.6
<i>CNX Media</i>		125.6	—	—	-2.3
<i>S&P CNX 500</i>		88.0	—	—	9.3
Sundaram BNP Paribas PSU Opportunities	Jan-10	—	—	—	-0.6
<i>CNX PSE</i>		—	—	—	-10.3
<i>S&P CNX 500</i>		—	—	—	2.7
Sundaram BNP Paribas Global Advantage	Sep-07	57.1	—	—	4.9
<i>MSCI Emerging Markets</i>		57.0	—	—	1.8
Sundaram BNP Paribas Money Fund	Mar-00	4.2	7.0	—	7.0
<i>CRISIL Liquid Fund Index</i>		3.7	6.7	—	6.5
Sundaram BNP Paribas Ultra Short term Fund	Apr-07	5.0	—	—	7.6
<i>CRISIL Liquid Fund Index</i>		3.7	—	—	6.5
Sundaram BNP Paribas Bond Saver	Dec-97	3.2	6.0	4.9	8.5
<i>CRISIL Composite Bond Fund Index</i>		5.4	7.0	5.6	—
Sundaram BNP Paribas Monthly Income Plan - Moderate Plan	Jan-04	14.7	6.0	6.9	6.7
<i>CRISIL MIP Blended Index</i>		14.3	8.5	8.4	7.2

Past performance may or may not be sustained in the future; NAV of Super Institutional Plan - Growth option is considered for computing the annualised returns of Sundaram BNP Paribas Ultra Short Term Fund and Sundaram BNP Paribas Money Fund. Rest of them are based on the NAV of Regular Plan -Growth option. Returns in %. Returns computed on compounded annualised basis. NAV, performance & AUM as on March 31, 2010; Relevant benchmarks highlighted in italics. Sundaram BNP Paribas Equity Multiplier was converted into Open End from, 22nd February 2010.

Key Information Memorandum

Offer of units at NAV

This Key Information Memorandum sets forth the information that a prospective investor ought to know before investing. For further details of the scheme / mutual fund, detailed risk factors, rights of and services for investors, due diligence certificate of Sundaram BNP Paribas Asset Management, key personnel, penalties & pending litigations, and associate transactions, to name a few, investors must, refer to the Statement of Additional Information/Scheme Information Document available free of cost at offices of Sundaram BNP Paribas Asset Management, Investor Service Centres, distributors and at www.sundarambnpparibas.in. The Scheme particulars have been prepared in accordance with the Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended till date, and filed with the Securities and Exchange Board of India (SEBI). The units being offered for public subscription have neither been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM. This KIM is dated 01/04/2010. **Please read the relevant SEBI-mandated documents carefully before investing. By filling and signing this application form, applicants are deemed to have understood and accepted the terms of the offer.**

Fund Type	<p>Open-end equity schemes: Sundaram BNP Paribas Select Focus, Sundaram BNP Paribas Growth Fund, Sundaram BNP Paribas India Leadership, Sundaram BNP Paribas Select Mid-Cap, Sundaram BNP Paribas S.M.I.L.E Fund, Sundaram BNP Paribas Equity Multiplier, Sundaram BNP Paribas Capex Opportunities, Sundaram BNP Paribas Rural India Fund, Sundaram BNP Paribas Financial Services Opportunities, Sundaram BNP Paribas Entertainment Opportunities & Sundaram BNP Paribas PSU Opportunities</p> <p>Open-end equity linked tax saving scheme: Sundaram BNP Paribas Tax Saver</p> <p>Open-end balanced scheme: Sundaram BNP Paribas Balanced Fund</p> <p>Open-end fund of funds scheme: Sundaram BNP Paribas Global Advantage</p> <p>Open-end liquid Scheme: Sundaram BNP Paribas Money Fund</p> <p>Open-end Income Schemes: Sundaram BNP Paribas Ultra Short-Term Plan, Sundaram BNP Paribas Flexible Fund - Short-Term Plan, Sundaram BNP Paribas Flexible Fund - Flexible Income, Sundaram BNP Paribas Gilt Fund, Sundaram BNP Paribas Bond Saver, Sundaram BNP Paribas Income Plus, Sundaram BNP Paribas Select Debt Short Term & Sundaram BNP Paribas Monthly Income Plan (<i>Not an assured income scheme</i>)</p>
Investment Objective	<p>Sundaram BNP Paribas Select Focus: To achieve capital appreciation by investing in a very few select stocks.</p> <p>Sundaram BNP Paribas Growth Fund: To achieve capital appreciation by investing predominantly in equities and equity-related instruments.</p> <p>Sundaram BNP Paribas India Leadership Fund: To achieve capital appreciation by investing in select stocks of companies. The leaders are identified as top 2/3 companies in any sector/sub-sector in terms of net revenue or total income.</p> <p>Sundaram BNP Paribas Select Mid Cap: The objective of the scheme is to achieve capital appreciation by investing in diversified stocks that are generally termed as mid-caps.</p> <p>Sundaram BNP Paribas S.M.I.L.E (Small and Medium Indian Leading Equities Fund): To primarily achieve capital appreciation by investing in diversified stocks that are generally termed as small and mid-caps and by investing in other equities.</p> <p>Sundaram BNP Paribas Tax Saver: To achieve capital appreciation by investing predominantly in equities and equity-related instruments. A lock-in period of 3 years is applicable.</p> <p>Sundaram BNP Paribas Balanced Fund: To generate capital appreciation and current income, through a judicious mix of investments in equities and fixed-income securities. The Scheme may invest up to 35% of the Net Assets in Overseas Securities.</p> <p>Sundaram BNP Paribas Equity Multiplier: The objective of the scheme would be to seek capital appreciation by investing in equity & equity related instruments.</p> <p>Sundaram BNP Paribas Select Thematic Funds CAPEX Opportunities Fund: The primary investment objective of the scheme is to generate consistent long-term returns by investing predominantly in equity/equity-related instruments of companies in the capital goods sector.</p> <p>Sundaram BNP Paribas Select Thematic Funds Rural India Fund: The primary investment objective of the scheme is to generate consistent long-term returns by investing predominantly in equity/equity-related instruments of "Companies that are focussing on Rural India".</p> <p>Sundaram BNP Paribas Select Thematic Funds Financial Services Opportunities Fund: The investment objective of the scheme is to seek long-term capital appreciation by investing predominantly in equity and equity related securities of Indian companies engaged in the banking and financial services</p> <p>Sundaram BNP Paribas Select Thematic Funds Entertainment Opportunities Fund: The objective of the Scheme would be to achieve long term capital appreciation by investing primarily in the equity and equity related instruments of companies that focus on opportunities in the entertainment business.</p> <p>Sundaram BNP Paribas Select Thematic Funds PSU Opportunities: Seek capital appreciation by investing in equity and equity-related securities of public sector companies to the extent of at least 65%. The fund may also invest in bonds and other fixed-income instruments issued by public sector entities. Under normal circumstances, cash may be deployed in fixed income and money market instruments. Exposure to overseas securities shall not exceed 35% of assets and shall be only related to the theme.</p>

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Sundaram BNP Paribas Global Advantage: To achieve capital appreciation by investing in units of overseas mutual funds and exchange traded funds, domestic money market instruments. Income generation may only be a secondary objective.

Sundaram BNP Paribas Money Fund: The main objective is to provide a level of income consistent with the preservation of capital, liquidity and lower level of risk, through investments made primarily in money market and debt securities. The aim is to optimize returns while providing liquidity.

Sundaram BNP Paribas Ultra Short-Term Fund: To provide a level of income consistent with liquidity through investments made primarily in money market and debt securities.

Sundaram BNP Paribas Flexible Fund: To generate reasonable returns by creating a portfolio comprising substantially of fixed income instruments and money market instruments by keeping the interest rate risk of the fund low.

Sundaram BNP Paribas Gilt Fund: The Fund seeks to generate current income through dedicated investments in gilts consistent with preservation of capital.

Sundaram BNP Paribas Bond Saver: The investment objective of this Scheme would be to earn regular income by investing primarily in fixed income securities, which may be paid as dividend or reinvested at the option of the investor.

Sundaram BNP Paribas Income Plus: The primary investment objective of the scheme is to obtain high yields by investing in fixed income securities. Capital appreciation is secondary objective when consistent with its primary objective.

Sundaram BNP Paribas Select Debt Fund: Short-Term Plan: The Fund seeks to earn regular income by investing primarily in fixed income securities, which may be paid as dividend or reinvested at the option of the investor. A secondary objective is to attempt to keep the value of its units reasonable stable.

Sundaram BNP Paribas Monthly Income Plan: The primary objective of the scheme is to generate regular income through investment in fixed income securities. The secondary objective is to generate long term capital appreciation by investing a portion of the schemes assets in equity and equity related instruments.

The Schemes do not guarantee / indicate any returns.

Asset Allocation

Sundaram BNP Paribas Select Focus: • Equity instruments (including ADRs and GDRs): 75%-100% • Equity futures & options including those of indices: Not exceeding 25% • Cash, cash equivalents, money market instruments: Not exceeding 25%.

Exposure in derivative shall not exceed 25% of the portfolio. The Scheme may invest up to 35% of the net assets in overseas securities.

Sundaram BNP Paribas Growth Fund: • Equity and equity-related instruments: up to 95% • Debt instruments including securitised Debt: up to 35% • Money market instruments up to 25%.

Exposure in derivative shall not exceed 25% of the portfolio. The Scheme may invest up to 35% of the net assets in overseas securities.

Sundaram BNP Paribas India Leadership Fund: • Leadership Stocks: 65%-100% • Stocks of conglomerates and potential leaders (including ADRs and GDRs): Not exceeding 35% • Equity futures & options, including those of indices: not exceeding 25% of underlying contract value • Money market instruments: not exceeding 15%.

Exposure in derivative shall not exceed 25% of the portfolio. The Scheme may invest up to 35% of the net assets in overseas securities.

Sundaram BNP Paribas Select Mid Cap: • Equity instruments (including ADRs and GDRs) 75%-100% • Equity Futures & Options including those of indices: Not exceeding 25%(underlying contract value) • Cash, cash equivalents, money market instruments: not exceeding 25%.

Exposure in derivative shall not exceed 25% of the portfolio. The Scheme may invest up to 35% of the net assets in overseas securities.

Sundaram BNP Paribas S.M.I.L.E (Small and Medium Indian Leading Equities Fund): • Equity instruments – small-cap and mid-cap stocks (including investments in ADRs and GDRs): At least 65% • Other Equities (including investment in ADRs and GDRs): Not exceeding 35% • Equity Futures & Options including those of indices: Not exceeding 25% • Money market instruments: Not exceeding 15%.

Exposure in derivative shall not exceed 25% of the portfolio. The Scheme may invest up to 35% of the net assets in overseas securities.

Sundaram BNP Paribas Tax Saver: • Equity & equity-related instruments: 80-100% • Corporate and PSU Bonds Up to 20% • Money market instruments: Up to 20%

Sundaram BNP Paribas Balanced Fund: • Equity and Equity Linked instruments: 40%-75% • Fixed Income instruments: 25%-60%, Including securitised debt up to 10%. The Scheme may invest up to 35% of the Net Assets in Overseas Securities.

Sundaram BNP Paribas Equity Multiplier: • Equity & equity-related Instruments 65%-100% • Debt instruments including securitised debt & Money Market Instruments up to 35% (securitised debt up to a maximum of 30%).

Sundaram BNP Paribas Select Thematic Funds CAPEX Opportunities Fund: • Equity & equity-related securities of

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companies in capital goods sector (including investments in ADRs and GDRs): 70%-100% • Other equities (including investment in ADRs and GDRs) 0%-30% • Money market instruments: 0%-15%. Sundaram BNP Paribas Capex Opportunities Fund has two separate portfolios for Dividend and Growth Options.

Exposure in derivative shall not exceed 25% of the portfolio. The Scheme may invest up to 35% of the net assets in overseas securities.

Sundaram BNP Paribas Select Thematic Funds Rural India Fund: • Equity & equity-related securities of companies that are focusing on Rural India (including investment in ADRs and GDRs): 70%-100% • Other equities (including investment in ADRs and GDRs): 0%-30% • Money market instruments 0%-15%.

The Scheme may invest up to 35% of the net assets in overseas securities.

The fund may also invest in equity and equity-related instruments listed in overseas markets as well as overseas funds/ETFs that invest in or track agri-commodity stocks. The scheme will not invest in funds/ETFs that have direct exposure to commodities.

Sundaram BNP Paribas Select Thematic Funds Financial Services Opportunities Fund: • Equity & equity-related instruments of predominantly Indian companies relevant to the theme 65%-100% • Equity & equity-related instruments outside the theme 0%-35% • Fixed Income and Money Market instruments 0%-15%.

The Scheme may invest up to 35% of the net assets in overseas securities.

Sundaram BNP Paribas Select Thematic Funds Entertainment Opportunities Fund: • Equity & equity-related instruments in the targeted sector/theme 65%-100% • Equity & equity-related instruments other than the targeted sector/theme 0%-35% • Fixed Income and Money Market instruments 0%-15%. The Scheme may invest up to 35% of the Net Assets in Overseas Securities.

Sundaram BNP Paribas Select Thematic Funds PSU Opportunities: • Equity & equity-related Instruments in the targeted theme 65%-100% • Equity & equity-related Instruments other than the targeted theme up to 35% • Fixed income/money market instruments up to 35%.

Sundaram BNP Paribas Global Advantage: • Units of Mutual funds and exchange-traded funds listed on overseas stock exchanges 85%-100% • Domestic money market instruments 0%-15%

Sundaram BNP Paribas Money Fund • Money Market Investments, debt securities (including securitised debt up to a maximum of 50% of the net asset value of the scheme) 0% - 100%.

As per SEBI Circular Number: SEBI/IMD/CIR No.13/150975/09 dated January 19, 2009: With effect from May 1, 2009, the scheme shall invest in / purchase debt any money market securities with maturity of up to 91 days only.

Sundaram BNP Paribas Ultra Short-Term Fund • Money market securities and/ or debt securities with residual or average maturity of less than or equal to 370 days or put options within a period not exceeding 370 days 70% - 100% • Debt securities which have residual or average maturity of more than 370 days 0% - 30% (Including Securitised Debt up to 30%)

Sundaram BNP Paribas Flexible Fund • Short Term Plan Money Market Instruments (CDs, CPs, CBLO, REPO, MIBOR Instruments, Debt Securities with initial maturity of less than one year/GOI Secs./Treasury Bills) 65%-100% • Fixed Income Instruments Government of India & Corporate Debt Securities (including Securitised Debt) 0%-35% • **Flexible Income Plan** Fixed Income Instruments, Government of India & Corporate Debt Securities (including Securitised Debt) 65%-100% • Money Market Instruments like CPs, CBLO, REPO, MIBOR Instruments, Debt Securities with initial maturity of less than one year/GOI Secs./Treasury Bills 0%-35%

Investment in securitised debt will be up to 35% of the net assets.

Sundaram BNP Paribas Gilt Fund: • Government of India Dated Securities 0%-100% • State Governments Dated Securities 0%-100% • Government of India Treasury Bills 0%-100%

Sundaram BNP Paribas Bond Saver • Debt instruments 65%-100% • Money market instruments 0%-40%

Sundaram BNP Paribas Income Plus: • High Yield Securities including securitised debt up to 100% • Convertible Debentures / Bonds and Preference shares High Investment Grade Fixed up to 15% • Income Securities up to 100% • Central Government Securities up to 50% • Money Market Investments (excluding call money) up to 50%

Sundaram BNP Paribas Select Debt Fund: Short-Term Plan: • Debt instruments (including investment in securitised debt) 65-100% • Money Market Investments 0-35%

Sundaram BNP Paribas Monthly Income Plan:

Asset Allocation-Conservative Plan: • Government Securities 90%-100% • Debt Securities, Money Market instruments & Cash (Including money at Call, other than securitised debt) 90%-100% • Equity & Equity related securities 0%-10% • Investment in Securitised Debt will be up to 75% of the net assets of the Plan.

Asset Allocation-Moderate Plan: • Government securities 80%-100% • Debt Securities, Money Market instruments & Cash (Including money at Call, other than securitised debt) 80%-100% • Equity and equity-related securities 0%-20%. • Investment in Securitised Debt will be up to 75% of the net assets of the Plan.

Asset Allocation-Aggressive Plan: Aggressive Plan: • Government securities 70%-100% • Debt Securities, Money Market

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instruments & Cash (Including money at Call, other than securitised debt) 70%-100% • Equity and equity-related securities 0%-30%. • Investment in Securitised Debt will be up to 70% of the net assets of the Plan.

Subject to SEBI Regulations, the asset allocation pattern may change from time to time for a short period and for defensive considerations, keeping in view the market conditions/applicable regulations/the perception of the Investment Manager; the intention is at all times to seek to protect the interests of the unit holders.

Applicable for equity funds: Overseas investments, if any, shall be in securities permitted by SEBI, namely: i. ADRs/ GDRs issued by Indian or foreign companies ii. Equity of overseas companies listed on recognized stock exchanges overseas iii. Initial and follow on public offerings for listing at recognized stock exchanges overseas iv. Short term deposits with banks overseas where the issuer is rated not below investment grade v. Units/securities issued by overseas mutual funds or unit trusts registered with overseas regulators and investing in (a) aforesaid securities, (b) Real Estate Investment. Trusts (REITs) listed in recognized stock exchanges overseas or (c) unlisted overseas securities (not exceeding 10% of their net assets).

Pending deployment of funds in terms of the Investment Objective, the funds may be invested in short-term deposits with schedule commercial banks, in accordance with applicable SEBI guidelines

Investment Strategy

Equity Schemes: The Investment Manager shall follow a combination of top-down and bottom-up approach to investing in equity and equity-related investments. Investments will be pursued in select macro themes, which cut across various industries and sub-sectors. Within such a framework, the emphasis will be on investing in companies with quality management unique business strength, sustainable medium/long term growth and reasonable valuations. For thematic funds, the investment will be in line with the themes in focus. The Investment Manager has a skilled research set up that works to identify investment opportunities through continuous research on sectors and companies. The analysis focuses on the past performance and future prospects of the company and the business, financial health, competitive edge, managerial quality and practices, minority shareholder fairness, transparency,. Companies that adequately satisfy the prescribed criteria are included in the portfolio. The weights of individual companies will be based on their upside potential to downside risk.

Liquid/Income Schemes: Based on the interest rate view, the optimum duration of the portfolio is first determined. Then depending on this decision, the mix of G-Secs, corporate debt, money market instruments, and cash is arrived at. This mix tries to ensure that returns are maximized while still protecting the liquidity of the portfolio. The schemes may invest in fixed-income instruments/money market instruments of shorter or longer maturities, depending upon the interest rate outlook and the investment objective of the scheme. Purchase of debt may be made either through initial public offer, private placement, through rights offerings, purchase on the floor of a recognised stock exchange or through negotiated deals on the secondary market. The schemes may invest in the non-publicly offered securities on the merits of the investment proposals. The Investment Manager shall invest in the instruments rated as investment grade or above by a recognised rating agency. In case, the instruments are not rated, specific approval of the Internal Investment Committee or Executive Committed shall be obtained.

Fund-of-Funds Scheme: Investment universe The fund may invest in overseas mutual funds, exchange-traded funds, and/or domestic money market instruments. The fund will strive to invest in global markets. Pending deployment in line with the investment objective after the NFO and switching between different securities subsequently, the fund may deploy funds in domestic money market instruments and deposits of commercial banks. The Scheme may also invest in money-market and short-term fixed income instruments to meet requirement of liquidity.

Emerging Market Focus The Scheme may seek to invest a greater proportions of assets in funds in the emerging markets. It also reserves the right to invest in emerging markets through country-specific and region-specific schemes floated by investment firms in overseas jurisdiction as well as in India. These markets offer greater growth potential over the long term as compared to developed economies in the OECD universe. The prospects for returns are higher, but so are the risks. The Scheme will endeavour to manage the risk in an optimum manner by pursuing prudent diversification. It may also completely steer clear of emerging markets as an asset class at times.

Common to all schemes: The Investment Manager will keep in mind the Investment Objectives of the respective Scheme and the applicable Regulations. Subject to SEBI Regulations, the asset allocation pattern may change from time to time for a short term and for defensive considerations, keeping in view the market conditions/ applicable regulations/the perception of the Investment Manager; the intention being at all times to seek to protect the interests of the Unit holders. Though every endeavour will be made to achieve the objective of the Schemes, the Investment Manager/Sponsors/Trustee do not guarantee that the investment objectives of the Schemes will be achieved. No guaranteed returns are being offered under the Schemes. Pending deployment of funds in terms of investment objectives of the scheme, the funds may be invested in short-term deposits with Scheduled Commercial Banks and money market instruments. **This is a broad outline of the approach to investing in equity schemes and income schemes. For detailed fund-specific strategy, please refer the respective Scheme Information Document and Key Information Memorandum of the schemes.**

Risk Profile

Mutual fund units involve investment-related and other relevant risks, including the possible loss of principal. Please read, before investment, the Statement of Additional Information/Scheme Information Document of the respective Schemes carefully for details on risk factors.

Risk Mitigation

An independent risk management team is in place to oversee and monitor portfolio risk on a day-to-day basis. Internal risk control guidelines are in place and the portfolio contours are tracked on a daily basis to ensure adherence. Any deviation is brought to the notice of the Managing Director and the fund manager for corrective

action. Follow up actions are made to ensure that the deviation is corrected within the time period prescribed in internal risk control guidelines. Adherence to limits from SEBI regulations as well as stipulations in the Scheme Information Document is ensured and monitored though the primary responsibility is with the compliance team. The risk management team reports to the Managing Director.

Risk Management Committee: The Board of Sundaram BNP Paribas Asset Management has constituted a Risk Management Committee comprising three directors. The Risk Management Committee reviews the reports prepared by the Risk Officers. The Risk Management Committee looks into the implementation of Enterprise Risk Management. The Committee also reviews the risk guidelines with respect to equity and fixed Income/Liquid Schemes, set/modify the limits of counter party exposure, review exceptions and overrides and suggest improvements to the framework/formats.

The Heads of Equity and Fixed Income, the Risk Analyst and the Executive Director – Sales & Marketing will be the permanent invitees to the Committee. The Compliance Officer acts as the secretary to the committee.

Risk Committee – Role: The Risk Committee will approve the:

- Guidelines in the Universe of securities
- Global Issuer limits (including limit per maturity)
- Counterparty limits
- Limits applicable to each fund such as Credit Diversification ratio, Duration Limit, WAM Limit, Maximum Maturity Limit, Liquidity Risk Limits, Valuation Risk Limits, Risk Grade Limits etc.

Risk Guidelines: Sundaram BNP Paribas Asset Management has internal investment norms and risk guidelines for equity and debt investments. Also fund specific guidelines are in place.

Risk Control: Risk control is customized by product according to the level of risk the fund can expose investors to, as specified in the investment mandate.

Plans and Options

Equity Schemes: Regular Plan & Institutional Plan (except Sundaram BNP Paribas Select Thematic Funds PSU Opportunities & Sundaram BNP Paribas Equity Multiplier). Only banks, public financial institution, insurance company, FII, sub-account of FII, mutual fund and any other entities specified by the Trustee shall be eligible to invest in the Institutional Plan. Four options are available in both plans: • Growth Option • Dividend pay out • Dividend Sweep • Dividend Re-investment. If no option is indicated, the default option will be Growth. If an investor chooses the Dividend Option but fails to indicate a sub-option, the default sub-option shall be Dividend Sweep.

Dividend Sweep: This option will be available only when the dividend amount payable to the investor's account on the Record Date in a folio is equal to or more than Rs 1000. The dividend so payable will be automatically swept into the Retail Plan (Growth Option) of Sundaram BNP Paribas Money Fund at the applicable NAV. For the purpose of levy of exit load wherever applicable, the period shall be reckoned from the date of such allotment. The sweep out date shall be deemed to be the dividend payment date.

Income/Liquid Schemes:

Sundaram BNP Paribas Money Fund: Plans: Retail, Institutional, Super Institutional Options: Growth Option • Dividend Pay out (Monthly) • Dividend Reinvestment (Daily, Weekly, Fortnightly, Monthly & Quarterly) • Default Option: Dividend Reinvestment (Quarterly)

Sundaram BNP Paribas Ultra Short-Term Fund: Plans: Retail, Institutional, Super Institutional Options: Options: Growth Option • Dividend Pay out & Dividend Reinvestment (Daily, Weekly, Fortnightly, Monthly & Quarterly) • Default Option: Growth

Sundaram BNP Paribas Flexible Fund (Short Term Plan): Retail Plan: Growth Option • Dividend Pay out & Dividend Re-investment (Monthly) • Institutional Plan: Growth Option • Dividend Pay out & Dividend Re-investment (Daily*, Weekly* & Monthly) • Default Option: Dividend Pay out (Monthly) * denotes only reinvestment

Sundaram BNP Paribas Flexible Fund (Flexible Income Plan): Retail Plan: Growth Option • Dividend Pay out & Dividend Re-investment (Monthly, Quarterly, Half Yearly & Annual) • Institutional Plan: Growth Option • Dividend Pay out & Dividend Re-investment (Monthly & Quarterly) • Default Option: Dividend Pay out (Monthly)

Sundaram BNP Paribas Gilt Fund: Plans: Regular Plan; Institutional Plan Options: Growth, Dividend Pay out and Dividend Re-investment. If no option is indicated default option will be Dividend Pay out.

Sundaram BNP Paribas Bond Saver: Plans - Retail & Institutional) Growth Option • Dividend Pay out & Dividend Reinvestment (Quarterly, Half Yearly & Annual) • Bonus Option • Default Option: Dividend Pay out (Quarterly)

Sundaram BNP Paribas Income Plus: Plans: Dynamic Asset Plan & Short Term Asset Plan. Options: Growth Option • Dividend Pay out • Dividend Reinvestment (Dividend periodicity: Weekly*, Fortnightly*, Monthly, Quarterly, Half yearly and Annual options.) * Weekly and Fortnight options are available only for Short term Asset Plan • Default option – Growth (for both Plans).

Sundaram BNP Paribas Select Debt Fund: Short-Term Plan: Options: Growth Option • Dividend Pay out • Dividend Reinvestment (Dividend periodicity: Weekly*, Fortnightly*, Monthly, Quarterly, Half yearly and Annual options.) * Weekly and Fortnight options are available only for Short term Asset Plan • Default option – Growth (for both Plans).

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Sundaram BNP Paribas Monthly Income Plan: Plans: Conservative Plan, Moderate Plan & Aggressive Plan. Growth Option
 • Dividend Pay out • Dividend Reinvestment (Monthly, Quarterly & Half Yearly) • Default Option: Dividend Pay out (Monthly). In case the investor does not indicate a plan, the default plan will be Conservative Plan and the default option would be Monthly Dividend Option

Minimum Application/Reprurchase Amount

Equity Schemes

Regular Plan: First investment Rs 5,000 and multiples of Rs 1 and for additional purchase. Rs.500 & multiples of Rs 1.
 Minimum Repurchase limits for Equity Schemes: *Regular Plan:* Rs.500 or 50 units and any amount/units thereafter. • STP(Weekly-every Wednesday) Rs 1000 • STP(Monthly): Rs 250 • STP(Quarterly): Rs 750.
 For Sundaram BNP Paribas Tax Saver the minimum amount is Rs 500.
 • SIP(Weekly-every Wednesday) Rs 1000 • SIP(Monthly): Rs 250 • SIP(Quarterly): Rs 750. The thresholds shall also apply for SIP through a Systematic Transfer Plan. The weekly SIP & STP requests shall be processed on Wednesday of every week. If Wednesday is not a business day, the SIP/STP installment will be processed on the next business day.

Institutional Plan (except Sundaram BNP Paribas Select Thematic Funds PSU Opportunities & Sundaram BNP Paribas Equity Multiplier): First investment Rs 5,000 and multiples of Rs 1.

Minimum Repurchase/Redemption

- o Rs.500 or 50 units and any amount/units thereafter.

Fund of Funds (Global Advantage Fund)

Minimum Application Amount

- o First investment Rs 5,000 and multiples of Rs 1 and for
- o Additional purchase. Rs.500 & multiples of Rs 1
- o • SIP(Monthly) : Rs 250 • SIP(Quarterly): Rs 750

Minimum Repurchase/Redemption

- o Rs.500 or 50 units and any amount/units thereafter.

Income/Liquid Schemes

Scheme Name/Plans/Options	Purchase	Additional Purchase	Repurchase
Sundaram BNP Paribas Money Fund			
<i>Regular Plan</i>	Rs 1,000	Rs 500	Rs 1,000
<i>Institutional Plan</i>	Rs 25 lakh	Rs 1 lakh	Rs 50,000
<i>Super Institutional Plan (for all options)</i>	Rs 5 crore	Rs 1 lakh	Rs 10,000
Sundaram BNP Paribas Ultra Short-Term Fund			
<i>Retail</i>	Rs 1,000	Rs 500	Rs 1,000
<i>Institutional Plan (for all options)</i>	Rs 1 crore	Rs 1 lakh	Rs 50,000
<i>Super Institutional Plan (for all options)</i>	Rs 5 crore	Rs 1 lakh	Rs 50,000
Sundaram BNP Paribas Flexible Fund Short Term Plan			
<i>Retail Plan</i>	Rs 5,000	Rs 1,000	Rs 1,000
<i>Institutional Plan</i>	Rs 1 crore	Rs 1 lakh	Rs 10,000
<i>Flexible Income Plan</i>			
<i>Retail Plan</i>	Rs 5,000	Rs 1,000	Rs 1,000
<i>Institutional Plan</i>	Rs 1 crore	Rs 1 lakh	Rs 10,000
Sundaram BNP Paribas Gilt Fund			
<i>Regular plan</i>	Rs 5,000	Rs 1000	Rs 500
<i>Institutional Plan</i>	Rs 50 Lakh	Rs 50,000	Rs 500
Sundaram BNP Paribas Bond Saver			
<i>Regular plan</i>	Rs 5,000	Rs 500	Rs 500
<i>Institutional Plan</i>	Rs 50 Lakh	Rs 1 Lakh	Rs 500
Sundaram BNP Paribas Income Plus			
	Rs 5,000	Rs 500	Rs 500
Sundaram BNP Paribas Select Debt Fund: Short-Term Plan			
	Rs 5,000	Rs 500	Rs 500
Sundaram BNP Paribas Monthly Income Plan			
	Rs 5,000	Rs 500	Rs 500

Sundaram BNP Paribas Money Fund and Sundaram BNP Paribas Ultra Short Term: Minimum amount for SIP/STP Rs. 1,000 for Weekly and Monthly and Rs. 3,000 for Quarterly installments.

Redemption/Switch/Listing

Redemption & switch-out on an ongoing basis to other schemes of Sundaram BNP Paribas Mutual Fund: Allowed on any Working Day at NAV, subject to Exit Load if any. If the Specified Date is a Non Business Day the application will be processed on the next Business Day.

The following Schemes are also listed on the Mutual Fund trading facility of the NSE: Sundaram BNP Paribas Growth Fund
 • Sundaram BNP Paribas India Leadership Fund • Sundaram BNP Paribas SMILE Fund • Sundaram BNP Paribas Tax Saver
 • Sundaram BNP Paribas Select Thematic Funds – Rural India Fund • Sundaram BNP Paribas Select Thematic Funds –

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Financial Services Opportunities • Sundaram BNP Paribas Select Thematic Funds – Entertainment Opportunities • Sundaram BNP Paribas Balanced Fund

Applicable NAV

Equity Schemes & Fund-of-Fund Schemes:

- For subscription/redemption/switch request received before 3 P M on any stock market working day, the closing NAV of the day of receipt of application
- For subscription/redemption/switch request received after 3 P M on any stock market working day, the closing NAV of next stock market working day after the receipt of application

For subscription the applicable NAV will be as indicated only for local cheque or demand draft payable at par in the place of receipt. If the application for subscription is accompanied by an outstation cheque or demand draft not payable at par in the place of receipt, closing NAV of the day on which the cheque or DD is credited will be the applicable NAV.

Switch-in shall be treated as subscription request. Switch-out shall be treated as redemption request.

Sundaram BNP Paribas Money Fund

- *Purchase:* For any subscription request received before 12 Noon on any working day and funds are available for utilisation the same day, closing NAV of the day immediately preceding the day of receipt of application
- *Purchase:* For any subscription request received after 12 Noon on any working day and funds are available for utilisation the same day, closing NAV of the day immediately preceding the next business day
- *Purchase:* Irrespective of the time of receipt of application, if funds are not available for utilisation on the day of the application, closing NAV of the day immediately preceding the day on which funds are available for utilisation
- *Re-purchase:* If redemption request is received before 3 PM on any working day, closing NAV of the day immediately preceding the next business day
- *Re-purchase:* If redemption request is received after 3 PM on any working day, closing NAV of next business day
- Business day does not include a day on which money markets are closed or otherwise not accessible.

Money Fund Applications shall be submitted only at the offices of the Investment Manager.

For other Income/Liquid Schemes covered in this document:

- For subscription/redemption/switch request received before 3 PM on any working day, the closing NAV of the day of receipt of application.
- For subscription/redemption/switch request received after 3 PM on any working day, the closing NAV of next working day after the receipt of application.

For subscription the applicable NAV will be as indicated only for local cheque or demand draft payable at par in the place of receipt. If the application for subscription is accompanied by an outstation cheque or demand draft not payable at par in the place of receipt, closing NAV of the day on which the cheque or DD is credited will be the applicable NAV.

In respect of purchase of units with an amount equal to or more than Rs.1 crore, irrespective of the time of receipt of application, the closing NAV of the day on which the funds are available for utilization shall be applicable.

Switch-in shall be treated as subscription request. Switch-out shall be treated as redemption request.

In case of 'switch' transactions from one scheme to another the allocation shall be in line with redemption payouts

Despatch of Redemption

Within 10 working days from receipt of redemption request at authorised Investor Service Centres of Sundaram BNP

Proceeds

Paribas Asset Management.

Dividend

The Trustee Company reserves the right to distribute dividend subject to availability of distributable surplus. Dividend is declared on the face value of Rs 10 per unit. After declaration and payment of dividend (including re-investment), the ex-dividend NAV will decline to the extent of the dividend payment.

Fund Managers & Benchmark

Fund Name	Fund Manager	Benchmark
Select Focus	Srividhya Rajesh	S & P CNX Nifty
Growth Fund	J Venkatesan	BSE 200
India Leadership Fund	J Venkatesan	S&P CNX Nifty
Select Mid Cap	Satish Ramanathan	BSE Mid Cap
S.M.I.L.E Fund	S Krishnakumar	CNX Mid Cap
Tax Saver	Satish Ramanathan	BSE 200
Balanced Fund	Satish Ramanathan	CRISIL Balanced Fund Index
Equity Multiplier	Satish Ramanathan	CNX Mid-Cap Index
CAPEX Opportunities Fund	Srividhya Rajesh	BSE Capital Goods Index
Rural India Fund	J Venkatesan	BSE 500
Financial Services Opportunities	J Venkatesan	CNX Banks Index
Entertainment Opportunities	Satish Ramanathan	S&P CNX Media & Entertainment Index
PSU Opportunities	J Venkatesan	CNX PSE Index
Global Advantage Fund	S Bharath	MSCI Emerging Markets Index

For Investment in overseas securities—Benchmark: MSCI Emerging Markets Index

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Dedicated Fund Manager for investment in overseas securities: S Bharath

Income/Liquid Schemes

Money Fund	Rahul Pal	CRISIL Liquid Fund Index
Ultra Short-Term Fund	K Ramkumar & Rahul Pal	CRISIL Liquid Fund Index
Flexible Fund Short-Term Plan	K Ramkumar	CRISIL Short Term Bond Fund Index
Flexible Fund-Flexible Income Plan	K Ramkumar	CRISIL Composite Bond Fund Index.
Gilt Fund	K Ramkumar & Rahul Pal	I-Sec MIBEX
Bond Saver	K Ramkumar	CRISIL Composite Bond Fund Index
Income Plus	Rahul Pal	CRISIL Composite Bond Fund Index
Select Debt Short Term Asset Plan	Rahul Pal	CRISIL Liquid Fund Index
Monthly Income Plan	K Ramkumar	CRISIL MIP Blended Index

The Trustee reserves the right to change the Fund Managers / Benchmarks, whenever considered appropriate and the details of the changes will be notified by addendum.

Name of the Trustee Company Sundaram BNP Paribas Trustee Company Ltd

Load Structure

Entry Load: Nil. In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, there will be no entry load for investments in the Schemes. This shall apply to new investment in the schemes, additional purchase, switch-in, Systematic Investment Plan (SIP), Systematic Transfer Plan (STP) and any other form of investment that may be introduced as a facility. The upfront commission to distributor (ARN holder) will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor. The distributors (ARN holder) will disclose all the commissions (in the form of trail commission or any other mode) payable to them for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to the investor.

Exit Load-Equity Schemes & Fund-of-Funds Scheme: An exit load of 1% shall apply for redemption within 12 months from the date of allotment in the Regular Plan and Institutional Plan.

The exit load structure will apply for investments made under Systematic Investment Plan (SIP) and Systematic Transfer Plan (STP) also. For SIP/STP, period mentioned in exit load will be reckoned from the date of allotment. There shall be no exit load on bonus units and of units allotted on reinvestment of dividend.

Exit Load: Income/Liquid Schemes

Sundaram BNP Paribas Money Fund: Exit Load: Nil

Sundaram BNP Paribas Ultra Short Term Fund: Exit Load: Nil

Sundaram BNP Paribas Flexible Fund-Short-Term Plan: Exit Load: 0.15% if redeemed within 7 days from the date of allotment

Sundaram BNP Paribas Flexible Fund-Flexible Income Plan: 1% shall apply for redemption within 12 months from the date of allotment

Sundaram BNP Paribas Gilt Fund: Exit Load: 0.25% if redeemed within 30 days from the date of allotment.

Sundaram BNP Paribas Bond Saver: Exit Load: 0.25% if redeemed within 30 days from the date of allotment.

Sundaram BNP Paribas Income Plus: 1% shall apply for redemption within 12 months from the date of allotment

Sundaram BNP Paribas Select Debt Short-Term Asset Plan: 0.50% shall apply for redemption within 6 months from the date of allotment

Sundaram BNP Paribas Monthly Income Plan: 1% for redemption within 12 months from the date of allotment.

Common for all Schemes: The above structure will be applicable for Systematic Investment Plan and Switch-out also. Period mentioned in exit load will be reckoned from the date of allotment.

The Trustees reserve the right to prospectively modify the load structure within the limits permitted under the SEBI regulations. The details of the modifications will be advertised in newspapers and made available in the offices of the Investment Manager and in the website www.sundarambnpparibas.in. Investors are requested to ascertain the applicable load structure before investing.

There shall be no exit load on bonus units and of units allotted on reinvestment of dividend.

Initial Issue Expenses Not Applicable as schemes covered in this KIM are open-end and are offered on a continuous basis.

Performance Refer page 3, 22 & 23 of this document

Recurring Expenses **Equity Schemes:** 2.50% for the Regular Plan and 1.50% for the Institutional Plan. The investment management fee structure shall be uniform for both plans. Recurring expenses, including investment management fee shall not exceed 2.50% for first Rs 100 crore, 2.25% for the next Rs 300 crore, 2.00% for the next Rs 300 crore and 1.75 % for assets in excess of Rs 700 crore. The expenses will be based on a percentage of average daily net assets. This is based on the current SEBI Regulations.

Total expenses, including fees			
Scheme Name	%	Scheme Name	%
Select Focus	2.01	Equity Multiplier	2.24
Growth Fund	2.41	Capex Opportunities - Growth	2.23

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India Leadership Fund	2.40	Capex Opportunities - Dividend	2.25
Select Mad Cap	1.94	Rural India Fund	2.34
S.M.I.L.E Fund	2.33	Financial Services Opportunities	2.41
Tax Saver	2.02	Entertainment Opportunities	2.50
Balanced Fund	2.49	PSU Opportunities	2.29

Total expenses expressed as percentage of average daily net assets for the year ending March 31, 2010

Liquid/Income Schemes: Recurring expenses, including investment management fee shall not exceed 2.25% for first Rs 100 crore, 2.00% for the next Rs 300 crore, 1.75% for the next Rs 300 crore and 1.50 % for assets in excess of Rs 700 crore. The expenses will be based on a percentage of average daily net assets. This is based on the current SEBI Regulations.

Scheme Name	Total expenses, including fees		
	Regular	Institutional	Super Institutional
Money Fund	1.00	0.55	0.22
Ultra Short-Term Fund	1.00	0.55	0.25
Flexible Fund-Short Term Plan	0.90	0.60	—
Flexible Fund-Flexible Income Plan	1.00	0.70	—
Gilt Fund	1.00	—	—
Bond Saver	2.25	1.50	—
Income Plus	1.92	—	—
Select Debt Fund Short-Term Plan	0.63	—	—
Total expenses expressed as percentage of average daily net assets for the year ending March 31, 2010			
Monthly Income Plan: Conservative	2.03		
Moderate	2.05		
Aggressive	2.03		

Fund of Funds Scheme: Recurring expenses, including investment management fee shall not exceed 0.75%. This is based on the current SEBI Regulations.

Global Advantage Fund 0.75

Total expenses, including investment management fee, expressed as percentage of average daily net assets for the year ending March 31, 2010

Tax Benefits

Common to all Schemes: This summary of tax implication is based on the current provisions of the applicable tax laws. This information is provided for general purpose only. In view of the individual nature of tax implications, investors are advised to refer the Statement of Additional Information and consult his/her tax adviser with respect to the specific tax implications arising out of an investment in the scheme. **Sundaram BNP Paribas Mutual Fund:** Income is exempt from tax as per Section 10(23D) of the Income Tax Act.

Investors Dividend received from the Schemes is not taxable in the hands of the investors. • No tax will be deducted at source from income paid to any investor as also from redemption amounts paid to resident investors. • Units of the scheme are an eligible mode of investment in terms of the pattern of investment prescribed under the Income Tax Act for the following entities: • Charitable Trusts • Scientific Research Associations • Institutions/Associations/Boards for regulating games / sports • Employee Welfare Trusts • In addition to income tax, surcharge on income tax (10% for Indian companies and 2.5% for foreign companies) is payable by companies if their net income exceeds Rs one crore. Cess of 3% on total tax (income tax plus applicable surcharge) is payable by all taxpayers. • If units of the scheme are given as a gift no gift tax will be payable. • Units of this scheme are also exempt from wealth tax.

Specific for equity funds: In the case of equity-oriented Schemes (where the investible funds are invested in equity shares of domestic companies to the extent of more than 65% of the total proceeds of the Scheme), the following tax provisions will apply: • No dividend distribution tax is payable by the mutual fund. • Long-term capital gains are not taxable (An investor must hold the units for more than 12 months) • Short-term capital gains (applicable if units are held for 12 months or less) are taxable at 15% (surcharge and cess will be payable). A cess of 3% on the tax amount, and, depending on the total income level, a surcharge at 10% of tax plus cess will also be payable. • Securities Transaction Tax will be payable at 0.25 % on the value of units sold. • Subscription to Units under Sundaram BNP Paribas Tax Saver is eligible for tax relief under section 80C.

Sundaram BNP Paribas Money Fund: • Money Market Mutual Fund and Liquid Funds are required to pay dividend distribution tax at the rate of 25.00% (surcharge and cess will be payable).

Specific for Income Schemes & Fund-of-Funds Scheme: The scheme will pay dividend distribution tax of 12.50% (surcharge and cess will be payable), in the case of distribution to individuals and HUFs and @ 20.00% (surcharge and cess will be payable) on distribution made to others. • Units will be treated as a long-term capital asset if held as a capital asset for more than 12 months. If the units are held for less than or equal to 12 months, they will be treated as short-term capital asset. • Long-term capital gains are taxable at 10% (surcharge and cess will be payable) without indexation of the cost of acquisition or at 20% (surcharge and cess will be payable) with indexation of the cost of acquisition which ever is less. • Short-term capital gains are taxable at normal rates applicable to the investor as per the provisions of the Income Tax Act. • Capital loss resulting from sale of units would be available for setting off against other capital gains made. Losses on transfer of long-term capital assets would however be allowed to be set-off only against gains from transfer of long-term

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	<p>capital assets. The balance long-term capital loss shall be carried forward separately for a period of eight assessment years to be set off only against long-term capital gains. • Where a person buys any units within a period of three months before the record date and sells such units within nine months after such date, then the capital loss, if any, on such sale cannot be set off to the extent of dividend income.</p>
Daily Net Asset Value	<p>On all working days, NAV will be computed (except in special circumstances detailed in the Statement of Additional Information/Scheme Information Document).</p> <p>Sundaram BNP Paribas Global Advantage Fund: NAV will be calculated on daily basis. For day T, the NAV will be computed on T+1 due to time lag in receipt of price information.</p> <p>Common to all Schemes: If the NAV cannot be computed for reasons such as closure of stock exchanges, banks, state of emergency, breakdown in communication and non-dissemination of NAV for the investee schemes for any reason, the Investment Manager may suspend calculations and/or publication of NAV of the units. Transparency will be maintained through half-yearly disclosure of established portfolio through newsletters.</p> <p>The NAV shall be sent for publication in 2 newspapers and updated on the websites of Sundaram BNP Paribas Asset Management (www.sundarambnpparibas.in) and the Association of Mutual Funds of India (www.amfiindia.com). Sundaram BNP Paribas Asset Management shall normally update the NAV on the website of Association of Mutual Funds of India before 9.00 p.m. on every working day</p>
For Investor Grievances please contact	<p>Investor Relations Manager</p> <p>Shalini Mohan Rao, Sundaram BNP Paribas Asset Management, II Floor, II Phase Sudarshan Building, 14, Whites Road, Royapettah, Chennai - 600 014. Telephone: (044) 28578700 Fax: (044) 28582200</p> <p>Registrar</p> <p>Computer Age Management Services (P) Ltd., Registration No. INR000002813, (Unit: Sundaram BNP Paribas Mutual Fund), No.178, M.G.R.Salai, Nungambakkam, Chennai 600 034. Ph : 044-3911 5551 / 3911 5685</p> <p>Registrar for Equity Multiplier</p> <p>Sundaram BNP Paribas Funds Services Limited, Registration No. INR000004066, Registrar and Transfer Agents, Unit: Sundaram BNP Paribas Mutual Fund, Central Processing Center, RR Towers, II III Floor, Thiru Vi Ka Industrial Estate, Guindy, Chennai 600 032. Tel: 044 - 2250 4722</p>
Information to unit holders:	<p>Accounts Statement for each transaction other than SIP/STP/SWP will be sent by post (or e-mail at the option of investor) within 10 business days. In the case of SIP/STP/SWP the first account statement will be issued within 10 business days of the initial investment and thereafter once within 10 business days of the end of the respective quarter. Soft copy of the account statement will be mailed to the investors on a monthly basis, if so mandated.</p> <p>The portfolio of the Schemes as of March 31 and September 30 of every year will be published in the SEBI prescribed format before the expiry of one month from the close of each half year in one national English daily newspaper and in a newspaper in a Tamil newspaper published from Chennai or sent to all the unit holders. The portfolio shall be displayed at www.sundarambnpparibas.in.</p> <p>An abridged scheme-wise annual report shall be e-mailed to all unit holders within four months from the date of closure of the relevant accounting year; unit holders who have not provided an email address shall receive a copy by post. The scheme-wise abridged annual reports will also be available at www.sundarambnpparibas.in</p>
General Risk Factors	<p>All mutual funds and securities investments are subject to market risks, and there can be no assurance or guarantee that fund's objectives will be achieved. NAV may go up or down, depending on the factors and forces affecting the securities market. Main types are market risk, liquidity risk, credit risk and systemic risks. The names of the schemes do not in any manner indicate either quality or future prospects and returns. At times, liquidity of investments may be impaired. There is uncertainty of dividend distribution and risk of capital loss. Past performance of the Sponsor/Asset Management Company/Fund does not indicate the future performance. Investors in the schemes are not being offered any guaranteed or indicated returns.</p>
Standard Risk Factors	<p>Risk Factors - Equity Markets: Stock Market Volatility, Equity Price Risk, Dependency Risk, Temporary Investment Risk and Non-Diversification Risk and Concentration Risk, to name a few.</p> <p>Risks-Overseas Investments: Foreign Exposure and Currency Risk, Country Risk, Event Risk, Restrictions imposed overseas, Emerging Markets Risk and Regulation-Change Risk, to name a few.</p> <p>Risks from Derivative Exposures: Counter Party Risk, Market risk, Illiquidity risk and Basis Risk, to name a few.</p> <p>Risks-Debt : Credit Risk, Interest Rate Risk, Price Risk, Market Risk, Liquidity Risk, Investment Pattern Risk, Duration Risk and Non-Diversification Risk, to name a few.</p>
Scheme-Specific Risk Factors:	<p>Applicable for all equity funds: Change in Government policy in general and changes in tax benefits applicable to mutual funds may impact the returns to Investors. Tax-free status for long-term capital gains and dividend will depend on the fund investing at least 65% in equity to qualify in accordance with provisions of the Income-Tax Act. If and to the extent, the portfolio includes overseas stocks, investors will be exposed to country risk, currency risk, geo-political risk, legal restrictions and regulation changes in geography other than India, to name a few (Not applicable for Sundaram BNP</p>

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Paribas Tax Saver). **Sundaram BNP Paribas Select Focus:** Higher volatility due to a concentrated portfolio. **Sundaram BNP Paribas Select Mid Cap:** Lack of liquidity at times and volatility. **Sundaram BNP Paribas Small and Medium Indian Leading Equities Fund (S.M.I.L.E) Fund:** Small-cap stocks are generally more illiquid. **Sundaram BNP Paribas Equity Multiplier:** Non diversification & Lack of liquidity. **Sundaram BNP Paribas Select Thematic Funds Capex Opportunities:** Long gestation periods; pace at which investments materialize; incidence of taxation, government policy & firm-level inefficiency. **Sundaram BNP Paribas Select Thematic Funds Rural India Fund:** Vagaries of nature such as erratic monsoon, failure of crop & drought, to name a few; cyclical / seasonal factors. For any overseas investments relevant to rural-theme: country risk, currency risk and geopolitical risk. **Sundaram BNP Paribas Select Thematic Funds Financial Services Opportunities:** The risks associated with the thematic portfolio will be lower than that of a sector-specific fund and higher than that of a typical diversified fund. Factors that could impinge on performance include changes in interest rates in India and overseas markets, changes in regulatory framework applicable to companies in financial services, government policy on ownership, savings patterns and deployment of such savings and levels and trends in capital market activity. As a thematic fund, there is risk of concentration. **Sundaram BNP Paribas Select Thematic Funds Entertainment Opportunities:** The risks associated with the thematic portfolio will be lower than that of a sector-specific fund and higher than that of a typical diversified fund. As the scheme will invest primarily in entertainment space, performance could be impacted by factors such as a dramatic slowdown in consumer spend, high taxes, if any, imposed by the government and changes in regulatory environment, to name a few. As the scheme may take concentrated exposures the NAV volatility will be higher than that of a typical diversified equity scheme Tax-free status for long-term capital gains and dividend will depend on the fund investing at least 65% in equity to qualify in accordance with provisions of the Income-Tax Act. If and to the extent, the portfolio includes overseas stocks, investors will be exposed to country risk, currency risk, geo-political risk, legal restrictions and regulation changes in geography other than India. As a thematic fund, there is risk of concentration. **Sundaram BNP Paribas Select Thematic Funds PSU Opportunities :** • Geo-political developments. • Government policy • As a thematic fund, there will be concentration risk compared to a diversified fund. Please read the Scheme Information Document carefully for more details on Risk Factors before taking an investment decision. **Sundaram BNP Paribas Global Advantage Fund:** • Higher fees & expenses **Income/Liquid Scheme:** • Changes in the prevailing rates of interest are likely to affect the value of the scheme's holdings and consequently the value of the scheme's Units. The scheme may use derivative instruments like Interest Rate Swaps, Forward Rate Agreements or other derivative instruments for the purpose of hedging and portfolio balancing and trading, as permitted under the Regulations and guidelines. Usage of derivatives will expose the Scheme to certain risks inherent to such derivatives. There is risk of capital loss.

Fund Size & Folios	Fund Name	Folio Count (#)	Assets Under Management (Rs Crore)
	Select Focus	2,18,129	1,184
	Growth Fund	32,211	161
	India Leadership	39,852	168
	Select Mid Cap	3,15,652	2,024
	S.M.I.L.E	94,644	610
	Tax Saver	4,98,689	1,314
	Balanced	10,752	117
	Equity Multiplier	1,73,000	465
	Capex Opportunities	2,13,134	1,057
	Rural India	75,922	256
	Financial Services Opportunities	46,909	176
	Entertainment Opportunities	26,971	80
	PSU Opportunities	69,569	534
	Global Advantage	36,613	125
	Money Fund	3,466	275
	Ultra Short-Term	5,683	791
	Flexible Fund Short-Term	3,426	538
	Flexible Income Plan	486	4
	Gilt Fund	69	—
	Bond Saver	5,567	44
	Income Plus	288	1
	Select Debt Short Term Asset Plan	60	—
	Monthly Income Plan	6,195	53

Statutory Sundaram BNP Paribas Mutual Fund has been set up as a trust under the Indian Trusts Act, 1882 **Sponsors:** Sundaram Finance Limited & BNP Paribas Asset Management. **Investment Manager:** Sundaram BNP Paribas Asset Management Company Limited. **Trustee:** Sundaram BNP Paribas Trustee Company Limited. The sponsors are not responsible or liable for any loss beyond the contribution of Rs.1 lakh to set up the Mutual Fund.

PIN Agreement & Email ID To Transact Online & Receive E-Mail Account Statement

Please read the terms & conditions of PIN on this page before signing the application form. PIN will be sent to all new investors along with account statement

Existing investors: As a one-time exercise, please fill this form and call Toll Free Number 1800 425 1000 to enable our service team pick up the form

A Personal Identification Number – PIN – is a must for effective and speedy transacting online in a mutual fund's products. Do you have one? If you wish to receive a PIN, you can read the PIN agreement attached to this communication and sign at the designated place. On receipt of the same, a PIN will be sent to you at the postal address noted in our records. The PIN will help an investor use the Invest Online facility at www.sundarambnp-paribas.in to buy, sell and switch units, to name a few services. The PIN is a vital requirement to do online investment transactions in a safe, secure and convenient way.

We also offer the facility of sending Account Statements by email. In case you wish to do so, please furnish your Email ID and sign at the appropriate place in the attached document.

We do encourage you to use a PIN to execute your transactions online and sign up for sending the Account Statements by email as by increasing the share of paperless transactions in the economy you will be making a vital contribution to a cleaner and healthier environment.

Investor Information															Agreement Date					
Name																				
Folio No					Mobile Number					Date					D	D	M	M	Y	Y
E-Mail Address																				

Agreement for Personal Identification Number – PIN For detailed PIN Agreement, visit: www.sundarambnp-paribas.in

This Agreement between Sundaram BNP Paribas Mutual Fund having its office at 46 Whites Road, II Floor, Chennai - 600014 (hereinafter referred to as "the Fund") and the investor (whose details are available in this agreement form hereinafter referred to as "the unit holder") (hereinafter referred to as the Agreement). The date of this agreement is indicated in the panel on Investor Information in this form. WITNESSTH:

WHEREAS: The Fund and the Unit holder have entered into a Unit Purchase Agreement, whereby the Unit holder has agreed to purchase units in the Fund;

AND WHEREAS: The Fund, through Computer Age Management Services Private Limited., acting as its Registrar and Transfer Agent (hereinafter referred to as "Registrar") offers the facility of a Personal Identification Number (hereinafter referred to as "PIN") for convenience of unit holders

AND WHEREAS: The unit holder has indicated to the Fund that he/she/it wishes to avail of the PIN facility offered by the Fund, subject to the terms and conditions stated in the application form and has for this purpose, indicated to Fund the name of his/her/its Bank and Branch Account Number;

AND WHEREAS: The Fund is willing to extend the PIN facility to the Unit holder on the terms and conditions as set out herein;

Now THEREFORE, in consideration of the mutual understanding as set forth in this Agreement, the parties hereto have agreed to the following terms and conditions:

The PIN granted to the Unit holder pursuant to this Agreement shall be communicated to the Unit holder by a reliable courier and entirely at the risk of the Unit holder.

The following shall be the mode of operation of the PIN facility:

- a If the unit holder is a company, an institution/ trust or body corporate, the authorised signatories may designate any individual as designated person and his personal details should be submitted to the Registrar
- b If the mode of payment is the first holder only, PIN facility will be made available to the first holder
- c If the mode of operation is joint, all the unit holders may designate only one of them to operate this facility. In such case, the personal details of the such a person shall be submitted to the registrar
- d If the Unit holder is a minor, then the Natural Guardian or the Guardian appointed by the Court alone shall be eligible to operate the PIN facility. In such a case the personal details of the Guardian shall be submitted to the Registrar.

The Unit holder may access the following services through the Internet, using the PIN facility: (a) purchase including switch in (b) redemption including switchout (c) Access to NAV Information (d) Access to balance Information (e) Ability to print Account Statements in a client-independent standard format (f) Links to the other Internet / web-sites of the Fund (g) Ability to email service requests to the Transfer Agent.

The Fund will take best efforts to keep the Fund's web-site updated on a daily basis so as to provide most current information to unit holders. However, the Unit holder agrees that because of communications issues, it is possible that the site may not be current on occasions. The Unit holder also agrees that the look and feel of the Web screen and outputs therefrom may differ based on the nature of the software used by the Unit holder to browse the site. The Fund agrees to take best efforts to protect security of the data placed on the Internet and has for this purpose, required the web service provider engaged by the Registrar to sign a confidentiality agreement with the Registrar specifying confidentiality of the data and to restrict external access to the database on the Internet. The Registrar shall ask the Unit holder for his / her / its name and Folio Number, address or such particulars to ensure the authenticity before accepting instructions on behalf of Fund.

The Registrar may, in the interest of the Unit holder, request a fax confirmation on the instructions received and shall not act until the said fax confirmation and additional confirmation is received

from the Unit holder. The Unit holder shall be responsible for safekeeping of the PIN and shall not disclose his / her / its PIN to any person and shall take all possible care to prevent discovery of the PIN by any person. The Unit holder shall not use his / her / its PIN after the disclosure of the same to any third party.

In the event of accidental disclosure of the PIN to any third party or if the unit holder forgets his password, the Fund may at the request of the unit holder and at its absolute discretion issue to the Unit holder a new PIN on these terms and conditions or under such terms and conditions, as the Fund may deem fit. The Unit holder shall be fully liable to the Fund for every transaction entered into using the PIN facility, whether with or without the knowledge of the Unit holder. The Unit holder hereby releases the Fund and the Registrar from any liability whatsoever against misuse of the PIN. Further, neither the Fund nor the Registrar shall be liable at all for any misuse if any, of any data placed on the Internet, by third parties "hacking" or unauthorisedly accessing the server. The Unit holder shall at all times indemnify the Fund, its officers, employees, successors and assigns from and against all actions, proceedings, claims and demands whatsoever for or on account of or in relation to any unauthorised use of the PIN and from and against all damages, costs, charges and expenses in respect thereof, unless the same is caused by gross negligence, bad faith or wilful default on the part of the Fund.

The Unit holder acknowledges and agrees that Sundaram BNP Paribas Investment Manager/Fund and or its Registrars or the Service Providers does not guarantee or warrant that Unit holder will not experience any downtime or disruptions in its use of the Service. In the event of any such downtime or disruption, the Investment Manager/Fund or its Registrar or its service providers shall use commercially reasonable efforts to reinstate accessibility and operability. Investment Manager/Fund or its Registrar or its service providers may restrict, suspend, or terminate investor's access to and use of the Service at any time, without notice or liability. The Unit holder agrees that its right to use the Service is non-exclusive, revocable, non-transferable, and non-sub-licensable.

The Unit holder agrees to be bound by any terms of use, disclosures, or disclaimers displayed by the Investment Manager/Fund/ Registrar and its service providers and any that Unit holder may affirmatively click through to accept. The investor's use of the Services shall be subject to the terms and conditions of any applicable agreements between Registrar, Service Providers and the Investment Manager/Fund and/or investor.

Unit holder shall not: (A) access or use the Service for any purpose inconsistent with the substance and terms of this Agreement; (B) introduce into the Service any code, virus, or mechanism that would impair the Service or Investment Manager's/Fund system, computers or software; (C) use the Service to gain unauthorized access to any system or database; (D) sublicense or otherwise transfer or make available Investor's access to the Service to any third party that is not an Authorized Person (E) disclose to any third party non-public information relating to the content or operation of the Service, which information is confidential and proprietary to the Investment Manager/Fund; or (F) remove or obscure any of Investment Manager's/Fund or Registrars or any Service Provider's trademarks, service marks, or markings of copyright or patent rights contained in the Service. In the case of any disputes, the matter will be referred to arbitration at the first instance and settled through arbitration proceedings as per the rules of the Indian Arbitration and Conciliation Act, 1996. The Place of Arbitration will be at Chennai. The Courts in Chennai shall have jurisdiction over all disputes arising out of or in respect of this Agreement.

In Witness Whereof, the parties to this Agreement have caused these presents to be executed as of the day and year first above written.

Signature			Signed for and on behalf of Fund
First Applicant	Second applicant	Third Applicant	

Your guide to fill the application form

Sundaram BNP Paribas Select Focus
Sundaram BNP Paribas Select Mid Cap
Sundaram BNP Paribas Growth Fund
Sundaram BNP Paribas S.M.I.L.E Fund
Sundaram BNP Paribas India Leadership Fund
Sundaram BNP Paribas Tax Saver
Sundaram BNP Paribas CAPEX Opportunities
Sundaram BNP Paribas Rural India
Sundaram BNP Paribas Financial Services Opportunities
Sundaram BNP Paribas Entertainment Opportunities
Sundaram BNP Paribas Balanced Fund
Sundaram BNP Paribas Equity Multiplier
Sundaram BNP Paribas PSU Opportunities

Checklist

Regular Plan: Please ensure the minimum investment is Rs 500 for Tax Saver and Rs 5000 for all other funds. For SIP, the minimum amount is Rs 250 for Monthly Plan and Rs 750 for Quarterly Plan

Institutional Plan: Please ensure the minimum first-time investment is Rs.5,000.

Please provide correct and complete data

Permanent Account Number (PAN) is not required for Micro SIP of an individual investor if the total amount of installments is less than Rs 50,000 per investor in any rolling 12-month period or in a financial year. This exemption is not applicable to other purchase transactions even if the amount is less than Rs 50,000 in any rolling 12-month period or in a financial year.

Investors in the Micro SIP must produce a copy of any of the specified photo identification documents listed in Guide to Investing through Systematic Investment Plan (SIP)

Please complete Know Your Customer requirement (if you intend to invest Rs 50,000 or more)

Please use separate forms and issue separate cheques to apply for different funds

Please make the Cheque/Demand Draft in the name of fund in which you would wish to invest and write the PAN number or Folio number (for investors who have an account with Sundaram BNP Paribas Mutual) on the reverse of the Cheque/Demand Draft.

If do not wish to nominate any person please write NONE or strike out the box by a cross mark as a measure of precaution and safeguard

If you are a new investor in Sundaram BNP Paribas Funds, please ensure you take care to mention:

- Permanent Account Number (PAN is mandatory for all investors).
- Mode of operation in case of joint applicants
- Bank account details of the first applicant
- Bank details for direct credit/ ECS/NEFT/RTGS

If the investor or nominee is a minor, date of birth of minor and name of guardian must be indicated

If investment is made under Power of Attorney, specimen signature of authorized signatory

Please attach:

- Attested copy of Permanent Account Number Card
- KYC Acknowledgement.
- Signed 'Account Payee' cheque/draft drawn in the name of the fund in which you wish to invest and indicate PAN number on reverse.
- A cancelled cheque or a photocopy of your cheque leaf (a must if you wish to avail the facility of direct credit/ ECS and preferable in all cases to ensure your bank account details are captured accurately)
- If investment is made under Power of Attorney, notarised copy of the Power of Attorney
- In case of a Trust/Fund, a resolution of the Trustee(s) authorising the investment must be submitted.
- If you are an institution, please attach a copy of documents indicated in the table:

Special Categories (please attach a copy)

Document	Corporate	Society	Partnership	Trust
Memorandum & Articles	✓			
Resolution/Authorisation to Invest	✓	✓	✓	✓
List of Authorised Signatories & Specimen Signatures	✓	✓	✓	✓
Bye-Laws		✓		
Trust Deed		✓		
Partnership Deed			✓	

This form is for persons resident in India. FII/Sub Account of FII (if investing using an address outside India) and NRIs are requested to use a dedicated form available for their use

1. Existing Investor Information: If you are an investor in any fund of Sundaram BNP Paribas Mutual Fund serviced by CAMS, please provide the Folio Number. You are not required to fill details required in Section 2. You must proceed directly to Section 3 as details required in Section 2 are available in your existing folio. If you wish to change any of the existing details, use a transaction slip available with your account statement.

2. New Investor Information: Name and address must be written in full. If the investment is on behalf of a minor (a person who is yet to complete 18 years), the Name of the Guardian and his/her PAN must be mentioned.

In case of more than one applicant, applicants are requested to state the Mode of holding as 'Joint' or 'Anyone or Survivor'. In case of omission to choose the mode of holding, the default option shall be 'Anyone or Survivor'

All communication and payments shall be made to/in the name of and favouring the first/sole applicant.

Applications by a Power of Attorney, a limited company, a corporate body, an eligible institution, a registered society, a partnership firm or a trust must be accompanied by documents as mentioned in the checklist. The documents must be attested by the designated or authorised official of the institution/power of attorney or by a notary or gazetted officer of the government/bank manager. Authorised officials should sign the Application Form under their official designation. A list of specimen signatures of the authorised officials, duly certified or attested, must be attached to the Application Form.

Permanent Account Number (PAN): SEBI has mandated that PAN shall be the sole identification number for all participants in the securities market, irrespective of the amount of the transaction. Accordingly, the applicant (or incase of applications in joint names, each applicant), is required to mention their PAN and attach an signed/attested copy of PAN card with the application. Investors can also submit a copy of PAN attested by the Bank Manager/Gazetted Officer of State or Central Government/Notary Public/Judicial Authority. Applications without a copy of the PAN will be rejected.

Permanent Account Number (PAN) is not required for Micro SIP of an individual investor if the total amount of installments is less than Rs 50,000 per investor in any rolling 12-month period or in a financial year. This exemption is not applicable to other purchase transactions even if the amount is less than Rs 50,000 in any rolling 12-month period or in a financial year.

Investors in the Micro SIP must produce a copy of any of the specified photo identification documents listed in Guide to Investing through Systematic Investment Plan (SIP)

Instructions for verification of PAN Card: If an investor provides a copy of PAN card without required attestation, officials at the branches of Sundaram BNP Paribas Asset Management/Registrar and Transfer Agents and designated persons at Investor Centres must verify the PAN card copy with the original. The person verifying the document need to affix his/her signature, name and company seal with remarks as verified with original / verified / attested. Distributors can also verify the PAN card copy with original. The person verifying the document need to affix his/her signature, name and company seal with remarks as verified with original / verified / attested.

Know Your Customer (KYC) Requirement: The Prevention of Money Laundering Act, 1992 and circulars issued by SEBI on Anti-Money Laundering Laws require mutual funds to formulate and implement a client identification programme and maintain record of identity and address of investors. The mutual fund industry has collectively entrusted this responsibility of verification of KYC norms to CDSL Ventures Limited (CDSL). CDSL has appointed Points of Service (POS) to facilitate completion of the KYC process for investors.

- **KYC acknowledgement is mandatory for an investment of Rs. 50,000/- and more.** An application without acknowledgement of KYC compliance will be rejected
- Investors are required to attach a copy of PAN card as a mandatory document for proof of identity to complete KYC
- As a token of having verified the identity and address and for efficient retrieval of records, the POS will issue an acknowledgement.
- Investors who have obtained the acknowledgement after completing the KYC process can invest in schemes of the Mutual funds by quoting the PAN in the application form.
- Investors are required to complete KYC process only once to enable them to invest in schemes of all mutual funds
- The list of points of service is available at www.sundarambnpparibas.in and www.amliindia.com

All other documents/copies must be self certified by the investor and submitted along with originals for verification and certification by AMFI certified distributor who must also affix ARN. Applications without required documents will be rejected.

3. Fund in which you wish to invest and Plans & Options: Please indicate clearly the complete name of the fund in which you wish to invest. The fund names are available in point No. 3 of application form or the Key Information Memorandum or checklist on this page or the cover page of this document.

A mutual fund scheme, a bank, a public financial institution, an insurance company, FIIs, sub-account of FIIs, pension funds and any other category of institution, which is permitted by the Trustee Company, shall be entitled to the Institutional Plan if the first investment is at least Rs.5,000. All other Investors should choose the Regular Plan. In Sundaram BNP Paribas Tax Saver, Sundaram BNP Paribas Equity Multiplier and Sundaram BNP Paribas PSU Opportunities there is no Institutional Option.

Options: If no option is indicated, the default option will be Growth.

4. How do you wish to receive:

- **Account Statement:** Please provide details of preferably your personal Email Address. This is a must to facilitate delivery of your Account Statement in an efficient and timely manner. Also, by receiving the Account Statement in a paperless mode by e-mail, you contribute to the environment. The advantage of electronic facility is available even if you opt to receive the Account Statement by Courier/Post. The investor is deemed to be aware of security risks including interception of documents and availability of content to third parties. If you reside in a place that is normally not reached by a reputed courier, please choose the 'Post' option.
- **Dividend:** Investors are used to receiving dividend warrants. **There are now electronic modes of receiving the dividend that are timely, efficient and environment friendly and also relieve the investors of the hassles of sending the warrants for clearing / collecting through their bankers.**

RTGS & NEFT: RTGS is Real Time Gross Settlement and applicable for payments/fund transfer in excess of Rs 1 lakh. NEFT is National Electronic Funds Transfer and is applicable for payments/fund transfer of less than Rs 1 lakh. RTGS & NEFT are modes of transferring money through electronic system and are easy and more secure ways to receive your redemption proceeds and dividend.

Direct Credit: The dividend is credited to your designated bank account. It is available now with select banks and Sundaram BNP Paribas Asset Management plans to enhance the coverage. At present, Direct Credit Facility is available for investors who have an account with ABN AMRO Bank, Axis Bank, BNP Paribas Bank, Citibank, HDFC Bank, HSBC Bank, ICICI Bank, IDBI Bank, IndusInd Bank, Kotak Mahindra Bank, Standard Chartered Bank and YES Bank. An investor who opts for Direct Credit facility is requested to enclose a cancelled cheque or a photocopy of the cheque to ensure that the correct bank account number and MICR code are entered in his/her record.

Electronic Clearing Service (ECS): Investors who opted for ECS facility for dividend will receive a direct credit of the amount due in their notified account. The Investor Service Centre will send a separate advice to investors informing them of the direct credit. Applicants in cities not covered under ECS facility will receive dividend payments by cheque or demand draft. This will be mailed to investors.

Warrants/Draft: Warrant/draft will be payable only at cities where Sundaram BNP Paribas Mutual Customer Service Centres are located. If an investor is not interested in the electronic fund transfer-based facilities and prefers receiving a cheque or demand draft, he/she must indicate the preference in the application form. Sundaram BNP Paribas Asset Management will strive to effect the payout by sending a cheque / demand draft. In case of unforeseen circumstances, the Sundaram BNP Paribas Asset Management reserves the right to issue a demand draft / payable at par cheque.

Investors expressly agree and authorise the mutual fund to use intermediaries such as post office, local and international couriers and banks, to name a few, to send communication or send cheque / demand draft / warrant. Intermediaries are agents of the investor and not the mutual fund. The Trustees / Investment Manager / Mutual Fund will not be responsible for any loss arising out of fraudulent encashment of cheque/draft or delay / loss in transit of any cheque / draft / communication.

- **Redemption Proceeds:** Please refer to the details of the various facilities for receiving dividend outlined in the section on 'Dividend'. Similar facilities excepting ECS are available for receiving redemption proceeds.
- **Updates by E-mail/SMS:** Sundaram BNP Paribas Asset Management provides interesting information on the economy, markets and funds. If you wish to receive documents such as The Wise Investor, India Market Outlook, Global Outlook, Fact Sheet and One Page Product Updates, to name a few, please choose the 'yes' option.

5. SIP Information: For a detailed understanding of the SIP process, please read Basics of the SIP available on page 10 of this document. Please skip this point if you wish to make a one-time investment.

6. Bank Account Details: Providing bank account details is mandatory according to SEBI regulations. Please complete all the details such as account number, name of the bank, branch, address and city. If you opt for ECS fill the nine digit MICR number that is available in your cheque leaf. If you have opted to receive dividend/redemption proceeds by RTGS/NEFT, fill in the additional five fields marked in italics. **RTGS/NEFT are unique numbers for every account with a bank branch. You can obtain them by contacting your banker. Please attach a cancelled cheque or a photocopy of the cheque to ensure that your account details are captured accurately in your record with the registrar. This is a must if you opt for ECS.**

7. Payment Details: The cheque/demand draft must be drawn in favour of the fund name and crossed A/c Payee only. Investors must write the Permanent Account Number/Folio Number on the reverse of the cheque / demand draft accompanying the application form. Sundaram BNP Paribas Asset Management is pleased to bear DD charges as per rates of State Bank of India.

8. Nomination: Please indicate a nominee who should be entitled to the benefits of your investment in the event of an untoward development. If the nominee is a minor, please provide date of birth and details of the guardian. If you do not wish to nominate a person, please write NONE or strike out the box by a cross mark as a measure of precaution and safeguard.

9. Declaration & Signature: Signature can be in English or in any Indian language. Thumb impressions must be attested by a Magistrate / Notary Public under his/her official seal. In case of HUF, the signature of the Karta and Karta of HUF seal/stamp are a must. If the application is in joint name, all applicants must sign the form. Please ensure that the signature is consistent not just in this form but in all transaction request documents you may submit subsequent to your investment. Variation in signature can lead to delays or rejection of a transaction request such as redemption, switch, change in address and change in bank mandate, to name a few.

4. How do you wish to receive the following (refer instruction 4)

Account Statement Will be sent by Email	Dividend	Redemption
To receive physical statement please tick <input type="checkbox"/>	<input type="checkbox"/> Direct Credit (DC) <input type="checkbox"/> Electronic Clearing Service (ECS)	<input type="checkbox"/> Direct Credit (DC) <input type="checkbox"/> RTGS/NEFT <input type="checkbox"/> Warrant

Direct Credit is now available with: ABN Amro Bank, AXIS Bank, BNP Paribas Bank, Citibank, HDFC Bank, HSBC Bank, ICICI Bank, IDBI Bank, IndusInd Bank, Kotak Mahindra Bank, Standard Chartered Bank, SBI & YES Bank.

Do you wish to receive updates (refer instruction 4) [Please (✓)] by E-Mail Yes No **SMS** Yes No

5. Please indicate details of your SIP (skip this section if you wish to make a one-time investment)

Each SIP Amount	Rs	<input type="text"/>	Mode of SIP	<input type="checkbox"/> Auto Debit <i>(also submit SIP Auto Debit form)</i>	<input type="checkbox"/> Post-dated cheques
SIP Frequency	<input type="checkbox"/> Weekly (Minimum amount Rs 1000 Every Wednesday) <input type="checkbox"/> Monthly (Minimum amount Rs 250 Minimum No of installments 20) <input type="checkbox"/> Quarterly (Minimum amount Rs 750 Minimum No of installments 7)		Period for the SIP	<input type="checkbox"/> 1 year <input type="checkbox"/> 2 years <input type="checkbox"/> 3 years <input type="checkbox"/> 5 years <input type="checkbox"/> 10 years <input type="checkbox"/> 15 years <input type="checkbox"/> Perpetuity	
	SIP Starting	<input type="text"/>	SIP Date	<input type="checkbox"/> 1 <input type="checkbox"/> 7 <input type="checkbox"/> 14 <input type="checkbox"/> 20 <input type="checkbox"/> 25	
First SIP Cheque No		<input type="text"/>	Last SIP Cheque No		<input type="text"/>

If you opt for SIP through post dated cheques, please indicate

6. Bank Account Details are Mandatory (refer instruction 6)

Name of the Bank	Branch
Branch Address	City (redemption & dividend will be payable at this location)
Account No	
If you opt for ECS fill	Cheque MICR No <input type="text"/> Account Type [Please (✓)] <input type="checkbox"/> SAVINGS <input type="checkbox"/> CURRENT <input type="checkbox"/> Others.....
If you have chosen RTGS / NEFT please fill:	
RTGS / NEFT IFSC Code <input type="text"/>	
Beneficiary Name <input type="text"/>	
Name of the Bank	Branch City

7. Payment Details (refer instruction 7) Please issue a separate Cheque/Demand Draft in favour of the fund you wish to invest

Cheque / DD No.		Date	D	D	M	M	Y	Y	Y	Y
Amount in words (Rs)			Drawn on Bank							
Amount in figures (Rs)	DD Charges	Net Amount	Branch Name							

Declaration: (We • having read and understood the contents of the Statement of Additional Information/Scheme Information Document • hereby apply for units as indicated in the application form • agree to abide by the terms, conditions, rules and regulations of the scheme • agree to the terms and conditions for Auto Debit • agree to abide by the terms, conditions, rules and regulations of the scheme • agree to terms & conditions of PIN agreement • agree to receive account statement/communication by Email • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment • do not have any existing Micro SIPs which together with the current application will result in the total investments exceeding Rs. 50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

8. Nominee (available only for individuals) (refer instruction 8)

Name:.....

Address:.....

.....

If nominee is a minor: Date of birth:.....Relationship:.....

Name of Guardian:.....

Address of Guardian:.....

.....

Signature of Nominee/Guardian of Nominee

9. Signature (refer instruction 9)

First / Sole Applicant / Guardian

Second Applicant

Third Applicant

Scheme:	<input type="checkbox"/> Regular Plan <input type="checkbox"/> Institutional Plan <input type="checkbox"/> Super Institutional Plan	<input type="checkbox"/> Dividend Payout <input type="checkbox"/> Dividend Re-Investment <input type="checkbox"/> Dividend Sweep <input type="checkbox"/> Growth <input type="checkbox"/> Others.....	
Cheque / DD No.	<input type="text"/>	Date	D D M M Y Y Y Y
Amount in words (Rs)			Drawn on Bank
Amount in figures (Rs)	DD Charges	Net Amount	Branch Name

Channel Partner / Agent Information

Agent's Name and ARN	1.Sub Agent Code	2.Sub Agent Code	3.Sub Agent Code	For Office Use Only

Upront commission shall be paid directly by the investor to the AMFI-registered distributors based on the investors' assessment of various factors including services rendered by the distributor

Existing Investor Information (Please fill in your Folio No.)

Please note that applicant details and mode of holding will be as per existing Folio Number.

Folio No. /

New Investor Information

Name of First/Sole Applicant

Permanent Account Number

KYC completed Yes No

Name of Second Applicant

Permanent Account Number

KYC completed Yes No

Name of Third Applicant

Permanent Account Number

KYC completed Yes No

If Micro SIP, please tick Yes (Micro SIP: Less than Rs 50,000 per person in any rolling 12-month period)

If Micro SIP, supporting document/identification number:

Fund Name

Plans and Options

Plan Regular Plan Institutional Plan Super Institutional Plan
Option Dividend Payout Dividend Re-Investment
 Dividend Sweep Growth Others.....

Each SIP Amount Rs

SIP Frequency Weekly (Minimum amount Rs 1000 Every Wednesday)
 Monthly (Minimum amount Rs 250 Minimum No of installments 20)
 Quarterly (Minimum amount Rs 750 Minimum No of installments 7)

Period for the SIP

1 year 2 years 3 years 5 years
 10 years 15 years Perpetuity

SIP Starting **SIP Date** 1 7 14 20 25

Initial Cheque/DD No

Bank Account Details

Name as in bank account:.....


Bank Name:.....

Branch:.....

Account Number

Account Type Savings Current Others.....

Nine-digit MICR No from cheque

To know your MICR number, please refer your cheque leaf 

Declaration: I/We • having read and understood the contents of the Statement of Additional Information/Scheme Information Document • hereby apply for units as indicated in the application form • agree to abide by the terms, conditions, rules and regulations of the scheme • agree to the terms and conditions for Auto Debit • agree to abide by the terms, conditions, rules and regulations of the scheme • agree to terms & conditions of PIN agreement • agree to receive account statement/communication by Email • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment • do not have any existing Micro SIPs which together with the current application will result in the total investments exceeding Rs. 50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Signature

First / Sole Applicant / Guardian

Second Applicant

Third Applicant

Authorisation & Signature of Bank Account Holder

Account Number

I/We have registered for ECS for my investment in Sundaram BNP Paribas Mutual Fund from the indicated account with your bank and authorise the representative carrying this mandate to get it verified and executed.

First / Sole Applicant / Guardian	Second Applicant	Third Applicant
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Guide to investing through Systematic Investment Plan (SIP)

With effect from August 01, 2009 PAN not required for Micro SIP

Investors who are Individuals are exempt from furnishing details of Permanent Account Number (PAN) for investments through **Systematic Investment Plans (SIPs) up to Rs. 50,000/- per year per investor** (hereinafter called Micro SIP).

Investors who wish to invest through Micro SIP will be required to produce a copy of any one of the specified photo identification documents instead of PAN. Supporting document must be current and valid. Supporting document copy shall be self attested by the investor / attested by the ARN holder mentioning the ARN number. While making subsequent Micro SIP applications with a mutual fund, investor can quote the existing folio number where a Micro SIP has been registered for all subsequent investment through Micro SIP in that folio and therefore need not resubmit the supporting document.

List of Specified Photo Identification Documents

1. Voter Identity Card
2. Driving License
3. Government / Defense identification card
4. Passport
5. Photo Ration Card
6. Photo Debit Card (Credit card not included because it may not be backed up by a bank account).
7. Employee ID cards issued by companies registered with Registrar of Companies (database available in the following link of Ministry of Company affairs <http://www.mca.gov.in/DCAPortalWeb/dca/MyMCALogin.do?method=setDefaultProperty&mode=31>)
8. Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament
9. ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks.
10. Senior Citizen / Freedom Fighter ID card issued by Government.
11. Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI.
12. Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL).
13. Any other photo ID card issued by Central Government/ State Governments/ Municipal authorities/ Government organizations like ESIC/ EPFO

Eligibility for Exemption: This exemption will be applicable only to investments made by individuals including minors, joint holders, sole proprietary entities and, NRIs but not PIOs. HUFs and other categories will not be eligible for Micro SIPs. The exemption will be available where the total amount of installments under SIP in a rolling 12-month period or in a financial year i.e. April to March, does not exceed Rs 50,000. This exemption will not be applicable to purchase transactions other than through SIP. The Registrar & Transfer Agents (RTA) – Computer Age Management Services Pvt. Ltd. & Karvy Computershare Pvt. Ltd will adopt the procedures prescribed by Association of Mutual Funds in India from time to time in this regard.

Transactions not covered: If the Supporting Documents are not submitted or once the cumulative investment exceeds in a rolling 12 month period / financial year Rs 50,000, the Investor will be required to comply with the procedures relating to PAN/KYC norms as applicable to investments under other categories, failing which the application for investment will be rejected.

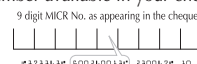
Read before enrolling for an SIP

- SIP facility is available for schemes covered in the KIM.
- First-time investors must use SIP Application Form and existing investors in the schemes of Sundaram BNP Paribas Mutual must use SIP Enrollment/Renewal Form.
- SIP investment can be made on any stock market working day. An investor must choose specific dates of the month/quarter by
 - (i) Auto debit or (ii) Cheques facility
- SIP Application Form and SIP Renewal Form must be completed in English using block letters and submitted at offices of Sundaram BNP Paribas Asset Management or Investors Service Centres of CAMS. Please do not submit SIP forms to collection banks.
- For the first installment a cheque must be issued. For second and subsequent installments, an investor can choose auto debit (recommended as it is efficient, easy, more secure and timely) or provide post dated cheques.
- SIP dates –
 - (i) 1, 7, 14, 20 or 25 of the month as SIP date for monthly option.
 - (ii) 1, 7, 14, 20 or 25 of the beginning month of each quarter as SIP date for quarterly option.
- Only one installment per month/quarter is allowed under one SIP registration.
- You can invest a fixed amount every month or quarter. Minimum investment amount: Rs.250/- for monthly SIP and Rs.750/- for quarterly SIP.
- The minimum number of cheques under monthly installment should be 20 and for quarterly installment should be 7 for SIP based on the minimum amount. For higher amounts the number of installments and cheques can be lower subject to investing at least Rs 5000 during the SIP period.
- The cheque should be drawn in favour of the fund name and crossed "a/c payee only". It should be made payable at the location of the branch of Sundaram BNP Paribas Asset Management or Investors Service Centre of CAMS. On receipt of the post-dated cheques the Registrar and Share Transfer Agent - CAMS - will send a letter to the unit holder confirming that his or her name has been enrolled for the SIP.
- An investor must submit only one cheque for each month/quarter for the SIP period. All cheques must be for the same amount and carry the same date that is selected by the investor.

- If an investor opts for SIP through post dated cheques, all cheques must be for the SIP date that has been chosen. The cheque will be presented on the same or the next working day and units will be allotted at the applicable NAV.
- Units will be allotted on the above applicable dates. In case the date is a Non-Business Day, the immediate next Business Day will be considered for the purpose of determining the applicability of NAV.
- An Account Statement will be sent by mail or by e-mail (if indicated) to the investor within 10 working days for the first investment through SIP. The subsequent account statement will be despatched once every quarter ending March, June, September and December within 10 working days from the end of respective quarter. In case of specific request received from investors, Sundaram BNP Paribas Asset Management will send the account statement to the investors within 5 working days from the receipt of such request without any charge.
- Investors should, as far as possible, opt to receive account statement by e-mail to make a contribution to the environment.
- An updated account statement will be sent to the investor indicating the new balance at his/her credit. For investors who have not provided an E-mail ID, a consolidated statement will be sent every quarter.
- If two consecutive post-dated cheques are returned unpaid by the bank, the SIP will be terminated and the balance cheque(s) if any, will be returned.
- Investor will have the right to discontinue the SIP facility at any time by sending a written request to offices of Sundaram BNP Paribas Asset Management or Investors Service Centres of CAMS. This request notice should be received at least 21 days prior to the due date of the next payment. On receipt of such request, the SIP facility will be terminated and the unused post dated cheque(s) will be returned to the investor. If the investor has opted for the auto debit facility, the instructions for this purpose would also be deactivated.

SIP Auto Debit facility: Terms & Conditions

- This facility is offered using RBI's Electronic Clearing Service (ECS). By opting for this facility, you agree to abide by the terms and conditions of the ECS facility of Reserve Bank of India available at www.rbi.gov.in and www.sundarambnpparibas.in.
- To avail this facility you must submit SIP Auto Debit form with a cancelled cheque. You must also indicate 9-digit MICR number available in your cheque leaf.

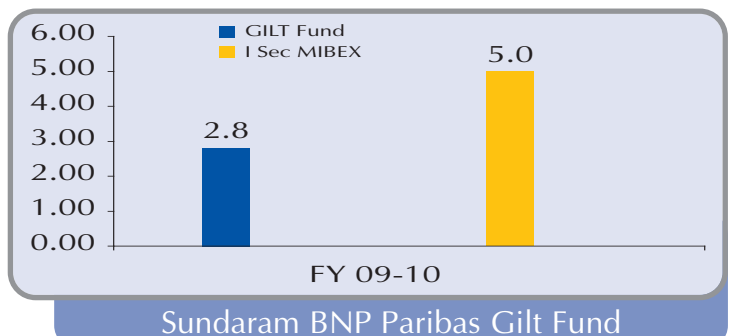
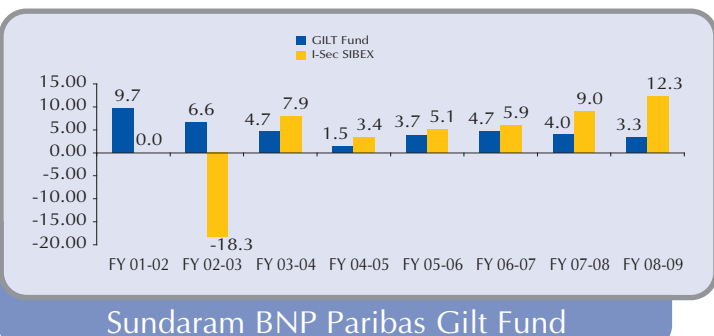
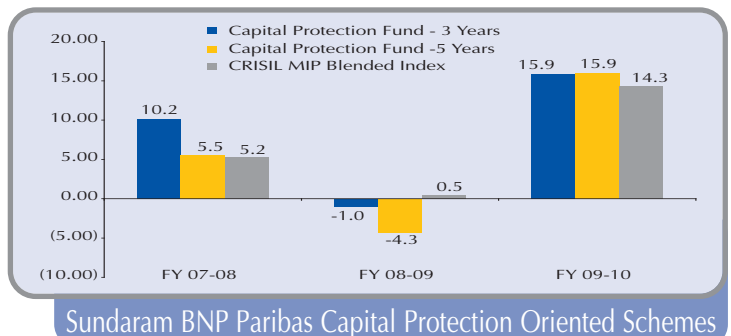
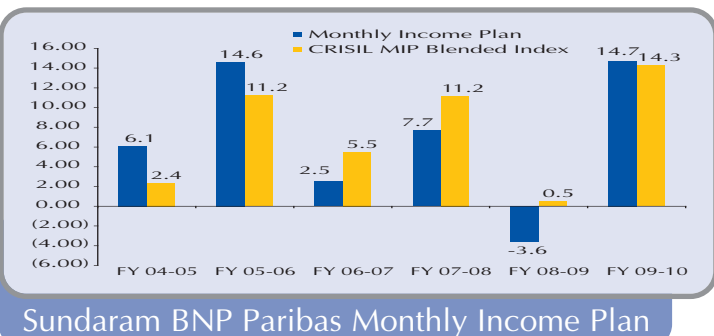
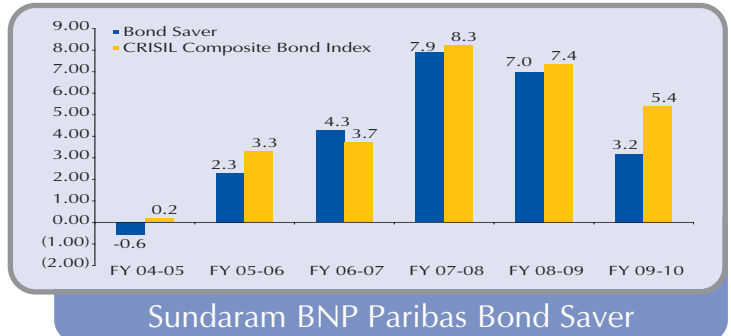
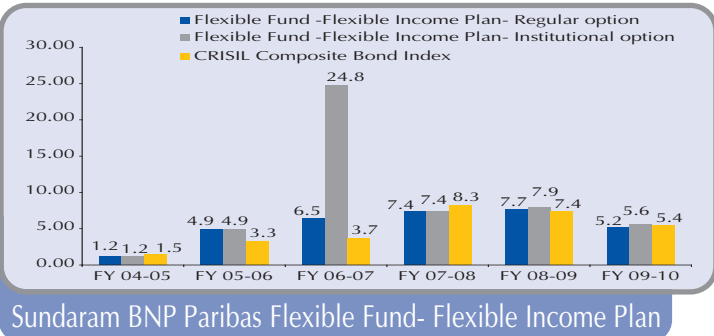
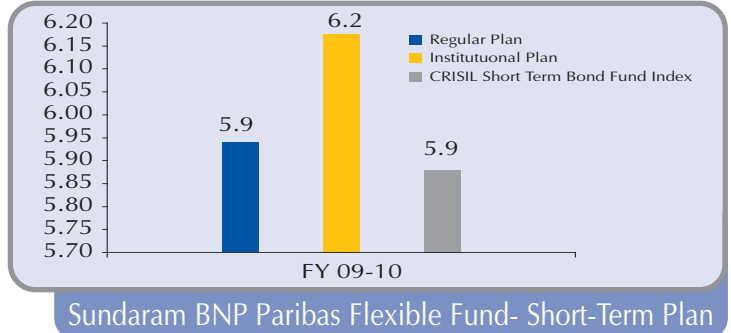
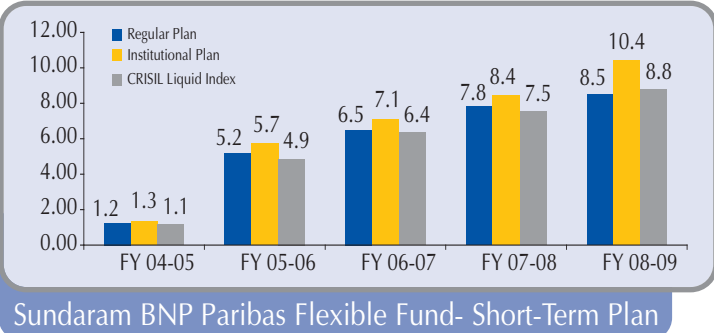
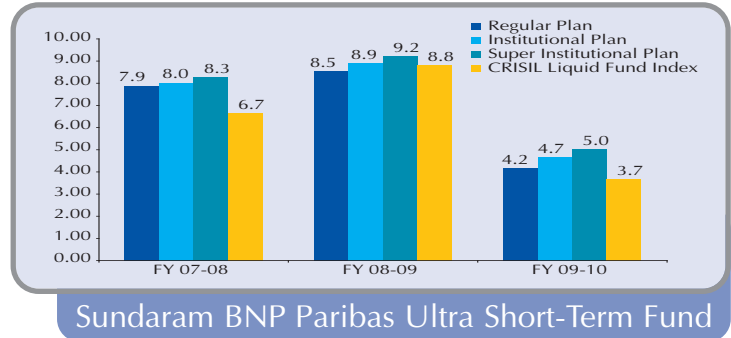
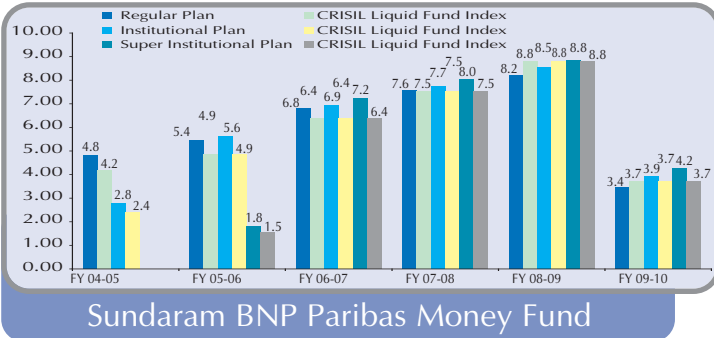


- SIP Auto Debit Form along with first cheque should be submitted to offices of Sundaram BNP Paribas Asset Management or Investors Service Centres of CAMS.
- The first cheque should preferably be drawn on the same bank account that is to be registered for ECS (Debit Clearing). If the cheque is drawn on any other bank, an investor must provide a photocopy of the cheque of the bank / branch for which ECS (Debit Clearing) is to be registered. Investors need not submit the First Cheque for SIP enrollment if:
 - The SIP Auto Debit Facility Enrolment Form is attested by the Bank from which SIP installment will be debited or
 - Copy of the cancelled cheque or photocopy of the cheque of bank account from which the SIP installment will be debited is attached to the form.
- There shall be a gap of at least 30 days between the dates of the initial investment and the first SIP auto-debit installment for a monthly SIP. This gap must be at least 90 days for a quarterly SIP.
- The following documents may be submitted at least 40 days before the first SIP date:

New Investors	Existing Investors
SIP Application Form	SIP Enrolment/Renewal Form
SIP Auto Debit Facility	SIP Auto debit facility form
First SIP Cheque	First SIP Cheque

- Your Bank Branch through which you want your SIP Auto Debit to take place should participate in local MICR Clearing.
- If investor mentioned the At Par MICR number for ECS i.e. MICR starting with 000 or ending 000, investor should mention the branch name and city name of bank particulars column.
- In case your bank decides to cross-verify the ECS auto-debit mandate with you as the bank's customer, you will have to complete the process.
- An investor who opts for this facility will receive an acknowledgement of registration for Auto Debit.
- The amount of the first cheque need not correspond to the value indicated for each SIP installment using Auto Debit facility. The SIP installments will be for the same amount.
- Auto Debit of the SIP amount will usually be processed on the date chosen by you or the next working day. SIP is available only on select dates of a month: 1, 7, 14, 20 and 25.
- Auto Debit of SIP will start after 30 days from the date of SIP Application.
- Three consecutive Auto Debit failures/rejections will lead to closure of the SIP.
- Sundaram BNP Paribas Mutual Fund, its Investment Manager, Registrar and other service providers will not be liable:
 - For any transaction failures due to the refusal of the bank to register the SIP mandate or rejection of the transaction by your bank / branch.
 - If the transaction is delayed or not effected or your bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS.
 - For any damages / compensation for any loss/damage, to name few, incurred by you as a result of use of this facility by you.
- Sundaram BNP Paribas Mutual Fund holds the right to reject application forms with incomplete information regarding SIP preferences. Such applications along with cheques will be returned to the investor with a communication.
- Cities in which ECS facility is available may be modified / updated / changed / removed entirely at the discretion of Sundaram BNP Paribas Mutual Fund without assigning any reasons or prior notice.

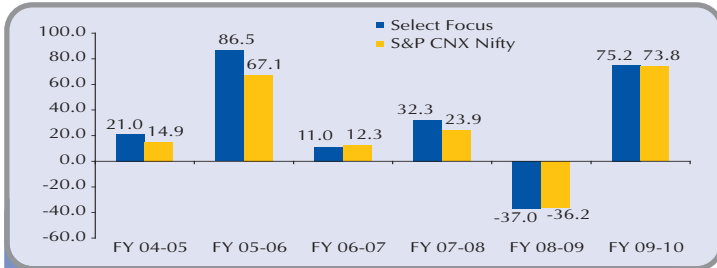
Track Record - Income/Liquid Schemes



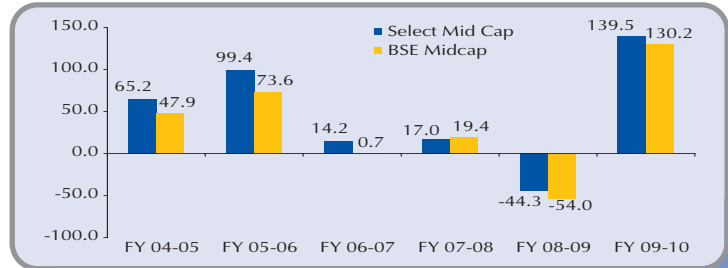
Returns computed on compounded annualised basis. NAV & performance as on March 31, 2010;

Sundaram BNP Paribas Gilt Fund: The scheme's benchmark has been changed to I-Sec MIBEX from I-Sec SIBEX with effect from March 01, 2009. **Sundaram BNP Paribas Flexible Fund - Short Term Plan:** The scheme's benchmark has been changed to CRISIL Short-Term Bond Fund Index from CRISIL Liquid Fund Index with effect from March 23, 2009. Half-yearly performance has been compared to the new benchmark for Sundaram BNP Paribas Gilt Fund and Sundaram BNP Paribas Flexible Fund - Short Term Plan

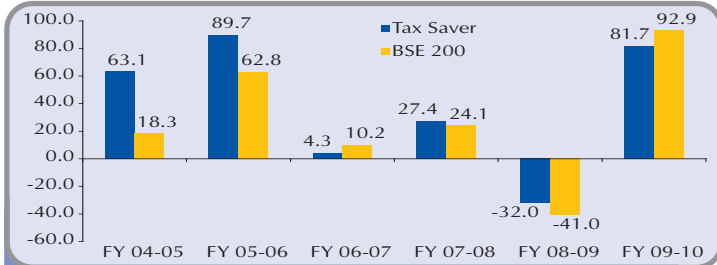
Track Record - Equity Schemes



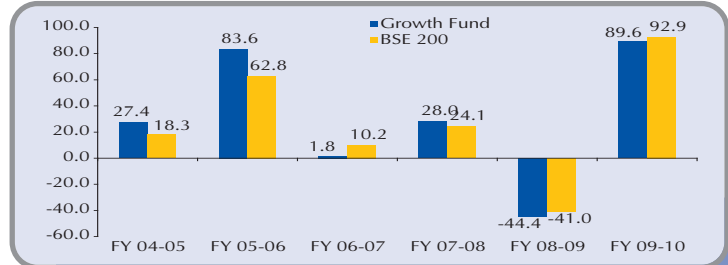
Sundaram BNP Paribas Select Focus



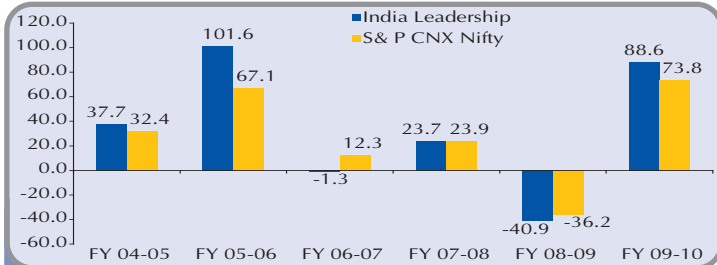
Sundaram BNP Paribas Select Mid Cap



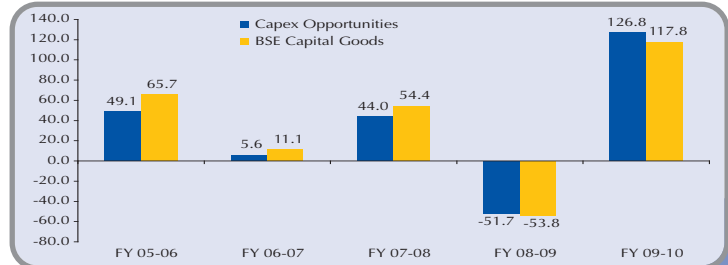
Sundaram BNP Paribas Tax Saver



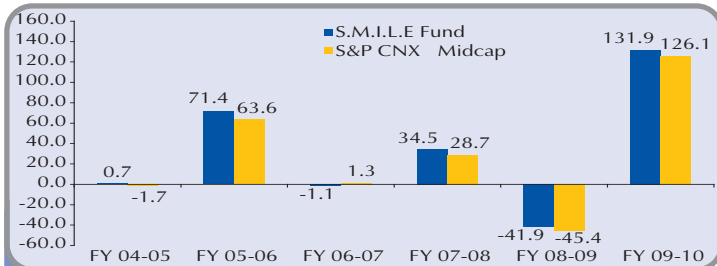
Sundaram BNP Paribas Growth Fund



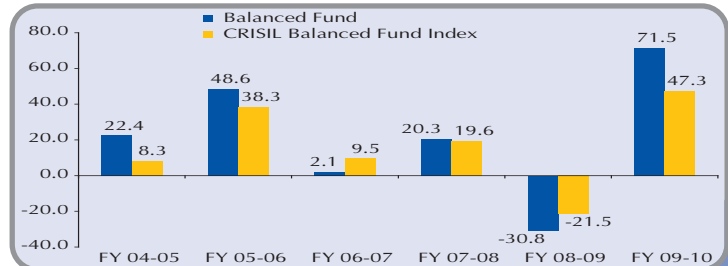
Sundaram BNP Paribas India Leadership Fund



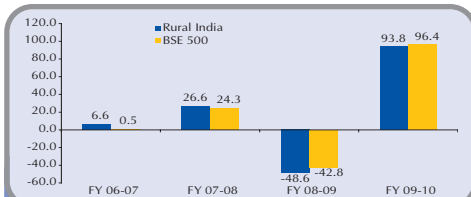
Sundaram BNP Paribas Capex Opportunities



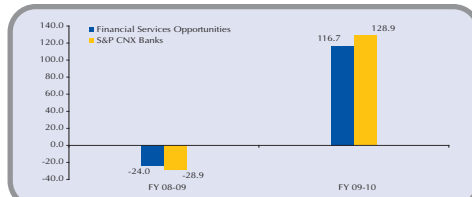
Sundaram BNP Paribas India S.M.I.L.E Fund



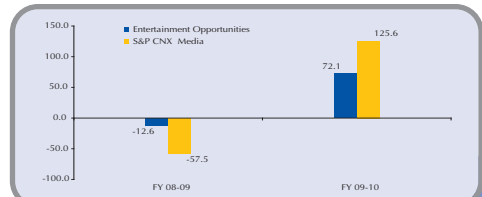
Sundaram BNP Paribas Balanced Fund



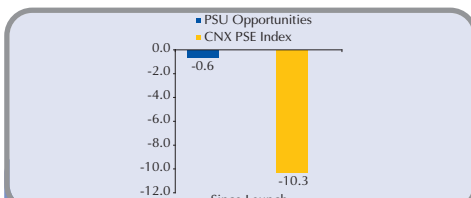
Sundaram BNP Paribas Rural India Fund



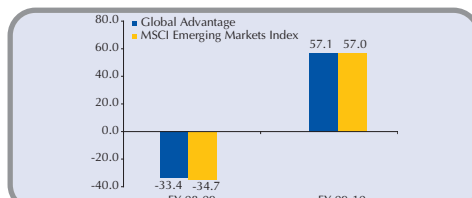
Sundaram BNP Paribas Financial Services Opportunities



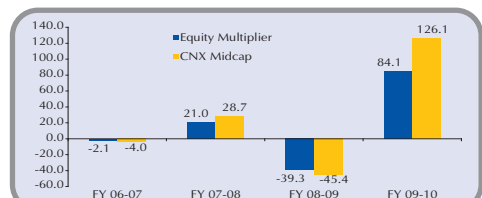
Sundaram BNP Paribas Entertainment Opportunities



Sundaram BNP Paribas PSU Opportunities



Sundaram BNP Paribas Global Advantage



Sundaram BNP Paribas Equity Multiplier

Allotment date for Sundaram BNP Paribas PSU Opportunities is January 2010. Past performance may or may not be sustained in the future; Returns computed on compounded annualised basis using NAV of Growth Option as on March 31, 2010 (Source: Fact Sheet of Sundaram BNP Paribas Asset Management).

Branches of Sundaram BNP Paribas Asset Management

Agra: Block no.41/4, Shop no.9, 1st floor, Friends Tower, Sanjay Palace Agra – 282002 Ph: 9319145256 **Ahmedabad:** 104,Arth Complex, Behind A.K. Patel House, Mithakali Six Roads, Navarangapura, Ahmedabad 380009. Ph: 079-66613337/26440442 **Amritsar:** C/O Sundaram Direct, Hall No-2, 27 Classic Plaza, Above Punjab National Bank, Majitha Road, Amritsar 143001. Ph: 9814767182 **Anand:** M/s.Sundaram Direct, F-1/1 Suramya Complex, Opp. C.P. Travel & Tours, Nr. Masada Bakery, Anand - V.V. Road, Anand. Ph No: 9327583372 **Bengaluru:** 2nd Floor, Phoenix Crescent, 10, Rest House Road, (Near ARMY Inspection Bungalow), Bengaluru 560001. Ph: 080-25599673/74/75 **Baroda:** 127, I floor, Siddharth Complex, Near Express Hotel, R.C.Dutt Road, Alkapuri, Baroda 390007 Ph: 0265-3203204 / 2320671 **Bhavnagar:** C/O Sundaram Finance Limited, G-5 Aristo complex, Opp madhav darshan, Near Radha Mandir, Waghavadi Road. Bhavnagar-364001. Ph No: 0278-2513494/9825107110 **Bhopal:** Plot No. 10&11, 3rd floor, Alankar palace, Bank street, M.P.Nagar, Bhopal 462011. Ph: 0755-3203306 **Bhubaneswar:** Office No-16, 2nd Floor, Deendayal Bhawan, Ashok Nagar, Bhubaneswar-751009. Ph: 0674-2530577 **Calicut:** 3rd Floor, 17/501 R2, Kanchas Building, Rajai Road, Calicut - 673001. Mob:09847582339 **Chandigarh:** SCO-2475-2476, 2nd Floor, Sector 22C, Chandigarh-160022. Ph: 0172-5009166/3206890 **Chennai - H.O.:** Sundaram Towers, II Floor 46, Whites Road, Royapettah, Chennai - 600014. Ph: 044 - 28583362 / 28569900 **Chennai Sales Office:** Old No; 20, New No: 14, T.V.K. III Street, Royapettah, High Road, Opp; Kesari High School, Chennai 600 014. Ph: 044-24988740/24988741/24988742 **Cochin:** 'Radhika' Opp. to Axis Bank Rajaji Road, Cochin - 682035. Ph: 0484-4027747/48 **Coimbatore:** No 41-A, I Floor, West Lokmanya Steet, R.S.Puram, Coimbatore 641002. 0422-4360058/2542816 **Dehradun:** C/o Sundaram Finance Ltd., 57/19, II Floor, Shiva Palace, Rajpur Road, Dehradun 248001. Ph: 0135-3203262 / 9719166626 **Dubai:** Representative Office, Office No.204, 2nd Floor, Above Ravi Darbar Restaurant at Burjuman Signal, Opp.Burjuman Centre and at the Exit of Khalid Bin Al Waleed Metro Station, P.O. – 124337, Khalid Bin Al Waleed Street, Bur Dubai, Dubai (UAE) Phone No. # +971 4 396 1469 **Goa:** Shop No.F30, D Block, 1st Floor, Alfran Plaza, Panaji, Goa-403001. Ph No: 9860817771 **Guwahati:** Anandi Commercial Complex, 2nd Floor, Bora Service, G.S.Road, Guwahati - 781007 Ph: 0361-2465591 **Gwalior:** C/o. Sundaram Finance, 2nd Floor,44 City Centre, Narayan Krishna Madhav Rao Scindia Marg, Gwalior 474002. Ph No: 9826857737 **Hosur:** No.1, I Floor, No:4/517-6, Soudiya Complex, Opp. Muthumariyamman Temple, Bangalore Road, Dharga, Sipcot (Post), Hosur 635 109. Ph: 9944482055 **Hubli:** Shop no 005, Kundagol Complex, Court Circle, Hubli, Karnataka 580029. Ph: 0836-3247428 / 9972919712 **Hyderabad:** V V Vintage Boulevard, F.No.203, 2nd Floor, Raj Bhavan Road, Somajiguda, Hydrebad 500082. Ph: 040-23393669 / 23390815 / 23397600 **Indore:** 125,Starlit Towers, 29/1 Y.N.Road, Indore 452001. Ph: 0731-3202029/4224546 **Jabalpur:** C/o. Sundaram Finance, 'Mangalam' 1st Floor, 103/3, Shastri Bridge Road, Napier Town, Jabalpur 482001, Ph: 9826777917 **Jaipur:** 303, 3rd Floor, Brij Anukampa Towers, Ashok Marg, C-Scheme, Jaipur 302011. Ph: 0141-5118364/3213853 **Jalandhar:** C/o Sundaram Finance Ltd., 5E, 1st Floor, Session Court Road, Near BMC Chowk, Jalandhar 144001. Ph: 0181-3248520/9872071282 **Jamshedpur:** Shop

No.5/B, 3rd Floor, Meghdeep Building, Beside Hotel South Park, 'Q' Road, Bistupur, Jamshedpur - 831001. **Jodhpur:** 116, 1st floor, Mody Arcade, Chopasani Road, Jodhpur- 342003. Ph: 0291-2612168 **Kanchipuram:** Door No:174-175 (First Floor), Gandhi Road, (Near Pachayappa Silks), Kanchipuram 631 501. **Kanpur:** 2nd Floor, 218, 219 Kan Chambers, 14/113, Civil Lines, Kanpur 208001. Ph: 0512-3018530 / 3013530 **Kolkata:** "Lords Building", 7/1 Lord Sinha Road, Room No: 312, 3rd Floor, Kolkatta 700071. Ph: 033-30580160 / 61 / 62 / 63 / 22816707 / 22835504 **Lucknow:** 04, UGF, Sky Hi Chamber, 5-Park Road, Lucknow-226001. Ph 0522 - 4060004 / 4040002 **Ludhiana:** SCO - 18, 4th Floor, Feroze Gandhi Market, Opp. Ludhiana Stock Exchange, Ludhiana-141001. Ph: 0161-3018500 / 2402021 **Madurai:** No: 183C, North Veli Street, Opp: Duke Hotel, Madurai 625001. Ph: 0452-4376801/4377478 **Mysore:** #21, 1st Floor, Prashanth Plaza, 3rd Main, 5th Cross, Saraswathipuram, Mysore 570 009, Ph: +91 9731244338 **Mumbai:** 606, 6th Floor, Dalamal House, Nariman Point, Mumbai 400 021. Ph: 022-22842878 / 22842879 / 22833863 / 22842832 • B-2/ 202, 2nd Floor, Marathon Innova NextGen Ganpatrao Kadam Marg, Opp. Peninsula Corporate Park, Lower Parel, Mumbai -400 013. Ph No: 022-24820300/24986200 **Nagpur:** C/O Fortune Business Centre, I Floor, 6 Vasant Vihar, W H C Road, Shankar Nagar, Nagpur-440010. Ph: 0712 - 2567346 / 2558581 Mob: 09922992842 **Nashik:** L-17, Suyojit Sankul, Near Rajiv Gandhi Bhavan, Sharanpur Road, Nashik 422002. Ph: 9326788990 **New Delhi:** 602, 6th Floor, Ashoka Estate, 24, Barakhamba Road, New Delhi 110 001. Ph: 011-41515138 / 41515139 **Patna:** 205, Ashiana Hari Niwas, New Dak Bungalow Road, Patna 800001. Ph: 0612-3200593 **Pondicherry:** C/o. Sundaram Home Finance Ltd, 40, Mission Street, Pondicherry 605001. Ph: 9443090091 **Pune:** 1st Floor, Rachana Trade Estate, Erandwane, Opp Swad Hotel, Pune 411004. Ph: 020-30280927 / 28 / 29 / 30 **Raipur:** Millenium Plaza, Office No 26, 3rd Floor, Behind Indian Coffee House, Raipur – 492001, Ph: 0771-4263615 **Rajkot:** 202-Business Terminal Complex, Opp. Ram Krishna Ashram, Dr. Yagnik Road, Rajkot - 360001 Ph No: 0281 3012578 **Salem:** New No.210 Old No315C, AVK Arcade, Omalur Main Road, Opp, to New Bus Stand, Salem 636004. Ph: 0427-4042827 / 9843081847 **Surat:** L-14, Jolly Plaza, Opp-Athwa Gate Police Station, Athwa Lines, Surat 395001. Ph: 0261-2461384 / 85 **Thrissur:** XXVIII / 85 / 63, Avokaran Arcade Patturaickal, Thrissur – 680022. Ph No: 9947044699 **Tirunelveli:** 25 N / 1,S.N.High Road, Near Sripuram Bus stop Sripuram Tirunelveli – 627001 Ph : 0462-4220034 **Trichy:** Krishna Complex, 1st Floor, 60, Shastry Road, Tennur, Trichy 620017. Ph: 0431-2741509/4020828 **Trivandrum:** C/O Sundaram Finance Ltd., Kairali Plaza, First Floor, Killipalam, Karamana P O, Trivandrum 695002. Ph: 0471-2342686 / 2342687 **Udaipur:** 110, Ridhi Sidhi Complex, 4-C, Madhuban Udaipur. Ph No: 9314280777 **Varanasi:** Flat No; 7, 2 ND Floor, Rama Kunj, C-32-22/17, Ram Sing Rana Nagar Colony, Cantt Sagra Road, Varanasi-221002. Ph No: 99352-43721 **Vellore:** First Floor, TKM Complex, 46 - 51, Katpadi Road, Vellore 632 004. Ph: 0416-2229471/2229431 **Vijayawada:** D.No. 40-10-5, Sree Ramachandra Complex, Benz Circle, Bajaj Showroom Lane, Vijayawada 520010. Ph: 0866-2470778 / 9848032734 **Vizag:** 47-10-10, 2nd Floor Rednam Regaency, Near Diamond Park, Dwarakanagar, Visakhapatnam 530016. Ph: 0891-3209440 / 98480 35892

Toll Free 1800 425 1000

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www.sundarambnpparibas.in

Sundaram BNP Paribas Asset Management