

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L65191TN1954PLC002429

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

(ii) (a) Name of the company

SUNDARAM FINANCE LIMITED

(b) Registered office address

21 PATULLOS ROAD
CHENNAI
Tamil Nadu
600002
India



(c) *e-mail ID of the company

PV@SUNDARAMFINANCE.IN

(d) *Telephone number with STD code

04428881207

(e) Website

www.sundaramfinance.in

(iii) Date of Incorporation

11/08/1954

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
	National Stock Exchange	1,024

(b) CIN of the Registrar and Transfer Agent

U67120TN1998PLC041613

Pre-fill

Name of the Registrar and Transfer Agent

CAMEO CORPORATE SERVICES LIMITED

Registered office address of the Registrar and Transfer Agents

"SUBRAMANIAN BUILDING"
No.1 CLUB HOUSE ROAD

(vii) *Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

Yes No

(f) Specify the reasons for not holding the same

will be held on 6.09.2021

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K5	Financial and Credit leasing activities	92.92

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

9

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	SUNDARAM HOME FINANCE LI +	U65922TN1999PLC042759	Subsidiary	100
2	ROYAL SUNDARAM GENERAL I +	U67200TN2000PLC045611	Joint Venture	50
3	Sundaram Asset Management +		Subsidiary	100
4	SUNDARAM ALTERNATE ASSE +	U65990TN2018PLC120641	Subsidiary	100
5	SUNDARAM TRUSTEE COMPAN +	U65999TN2003PLC052058	Subsidiary	100
6	LGF SERVICES LIMITED	U67190TN2004PLC052384	Subsidiary	100
7	SUNDARAM FUND SERVICES LI +	U67120TN2008PLC068388	Subsidiary	100
8	SUNDARAM FINANCE HOLDIN +	L65100TN1993PLC025996	Associate	21.61
9	SUNDARAM ASSET MANAGEM +	U93090TN1996PLC034615	Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	27,810,000,000	111,103,860	111,103,860	111,103,860
Total amount of equity shares (in Rupees)	278,100,000,000	1,111,038,600	1,111,038,600	1,111,038,600

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	27,810,000,000	111,103,860	111,103,860	111,103,860
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	278,100,000,000	1,111,038,600	1,111,038,600	1,111,038,600

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	3,387,648	107,716,212	111103860			
Increase during the year	0	167,173	167173	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify		167,173	167173			
Physical to Demat						
Decrease during the year	167,173	0	167173	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0

iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify	167,173		167173			
At the end of the year	3,220,475	107,883,385	111103860	0	0	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text" value="22/07/2020"/>
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Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	147,192	1000000	152,166,362,579
Partly convertible debentures	0		0
Fully convertible debentures	0		0
Total			152,166,362,579

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	132,512,686,579	51,984,676,000	32,331,000,000	152,166,362,579
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total	<input type="text"/>		<input type="text"/>		<input type="text"/>

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

40,141,952,000

(ii) Net worth of the Company

61,794,505,600

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	27,712,541	24.94	0	
	(ii) Non-resident Indian (NRI)	991,940	0.89	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	11,185,738	10.07	0	
10.	Others	0	0	0	
	Total	39,890,219	35.9	0	0

Total number of shareholders (promoters)

140

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage

1.	Individual/Hindu Undivided Family				
	(i) Indian	30,210,940	27.19	0	
	(ii) Non-resident Indian (NRI)	4,826,344	4.34	0	
	(iii) Foreign national (other than NRI)	18,720	0.02	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	4,144,449	3.73	0	
4.	Banks	2,600	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	14,673,327	13.21	0	
7.	Mutual funds	7,960,687	7.17	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	7,937,526	7.14	0	
10.	Others	1,439,048	1.3	0	
	Total	71,213,641	64.1	0	0

Total number of shareholders (other than promoters)

23,466

**Total number of shareholders (Promoters+Public/
Other than promoters)**

23,606

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

0

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	145	140
Members (other than promoters)	27,192	22,748
Debenture holders	669	765

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	2	3	2	2	2.04	2.27
B. Non-Promoter	1	6	2	6	0.03	0.29
(i) Non-Independent	1	0	2	0	0.03	0
(ii) Independent	0	6	0	6	0	0.29
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	3	9	4	8	2.07	2.56

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 15

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SANTHANAM VIJI	00139043	Director	966,456	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SANTHANAM RAM	00018309	Director	1,116,684	
SREENIVASAN PRASA	00063667	Director	25,200	
MAHALINGAM SETUR	00121727	Director	3,200	
RAGHAVENDRA RAGH	00146230	Director		
SHOBHANA RAMACHA	00273837	Director	302,000	
PUDUGRAMAM NARA	00499442	Director		
L GANESH	00012583	Director	2,500	
THIRUVALLUR THATT	00018247	Managing Director	475,568	
HARSHA VIJI	00602484	Director	1,694,860	
RAJIV LOCHAN CHELL	05309534	Director	5,000	
AYALUR NATARAJAN	00036201	Director	37,528	
MAHADEVAN RAMASV	AAAPR3587K	CFO	33,402	
PANCHAPAKESAN VIS	AAAPV1790J	Company Secretar	45,991	
SRINIVASAN RAVINDR	00045076	Director	627,702	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

4

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
RAJIV LOCHAN CHELL	05309534	Director	03/06/2020	Has become Whole Time Director
HIRUVALLUR THATTA	00018247	Managing Director	31/03/2021	Has become Non-Executive Director
SRINIVASAN RAVINDR	00045076	Director	10/08/2020	Cessation
L GANESH	00012583	Director	12/08/2020	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	22/07/2020	23,606	92	3.76

B. BOARD MEETINGS

*Number of meetings held

9

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	24/04/2020	12	12	100
2	29/05/2020	12	12	100
3	10/08/2020	12	12	100
4	17/09/2020	12	12	100
5	06/11/2020	12	12	100
6	20/01/2021	12	12	100
7	05/02/2021	12	11	91.67
8	04/03/2021	12	12	100
9	26/03/2021	12	11	91.67

C. COMMITTEE MEETINGS

Number of meetings held

36

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	24/04/2020	3	3	100
2	Audit Committee	29/05/2020	3	3	100
3	Audit Committee	28/07/2020	3	2	66.67
4	Audit Committee	04/08/2020	3	3	100
5	Audit Committee	17/09/2020	3	3	100
6	Audit Committee	27/10/2020	3	3	100
7	Audit Committee	02/11/2020	3	3	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
8	Audit Committee	21/12/2020	3	3	100
9	Audit Committee	04/02/2021	3	3	100
10	Audit Committee	15/03/2021	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	SANTHANAM	9	9	100	0	0	0	
2	SANTHANAM	9	9	100	0	0	0	
3	SREENIVASA	9	9	100	15	15	100	
4	MAHALINGAM	9	9	100	2	2	100	
5	RAGHAVEND	9	9	100	6	6	100	
6	SHOBHANA F	9	7	77.78	13	13	100	
7	PUDUGRAMA	9	9	100	6	6	100	
8	L GANESH	6	6	100	0	0	0	
9	THIRUVALLU	9	9	100	22	22	100	
10	HARSHA VIJI	9	9	100	16	15	93.75	
11	RAJIV LOCHA	9	9	100	18	17	94.44	
12	AYALUR NAT	9	9	100	21	20	95.24	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	THIRUVALLUR TH	Managing Director	16,557,000	65,000,000	0	1,903,000	83,460,000
2	HARSHA VIJI	Whole Time Director	14,282,000	28,500,000	0	1,656,000	44,438,000
3	RAJIV LOCHAN CH	Whole Time Director	10,878,000	12,000,000	0	1,271,000	24,149,000
4	AYALUR NATARAJ	Whole Time Director	11,492,000	15,000,000	2,994,000	1,855,000	31,341,000
	Total		53,209,000	120,500,000	2,994,000	6,685,000	183,388,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	MAHADEVAN RAM	CFO	8,030,400	8,000,000	2,994,187	1,520,420	20,545,007
2	PANCHAPAKESAN	Company Secretary	6,180,390	2,700,000	2,395,350	805,822	12,081,562
	Total		14,210,790	10,700,000	5,389,537	2,326,242	32,626,569

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total					0	0

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

M/s.Damodaran & Associates

Whether associate or fellow

Associate Fellow

Certificate of practice number

5081

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

To be digitally signed by

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

-
-
-
-

List of attachments

AnnexureCommitteesattendance-2020-21.

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company